

Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

2017

Department of the Treasury
Internal Revenue Service

▶ Do not enter social security numbers on this form as it may be made public.
▶ Go to www.irs.gov/Form990PF for instructions and the latest information.

Open to Public Inspection

For calendar year 2017 or tax year beginning , 2017, and ending , 20

Name of foundation Rockefeller Brothers Fund, Inc.		A Employer identification number 13-1760106
Number and street (or P.O. box number if mail is not delivered to street address) 475 Riverside Drive	Room/suite 900	B Telephone number (see instructions) (212) 812-4200
City or town, state or province, country, and ZIP or foreign postal code New York NY 10115		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ 1,213,633,061	J Accounting method: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)	
F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>		

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)	254,633,455.			
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments	339,360.	339,360.		
	4 Dividends and interest from securities Schedule 1B	1,790,671.	11,446,198.		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	17,250,499.			
	b Gross sales price for all assets on line 6a 443,108,475.				
	7 Capital gain net income (from Part IV, line 2)		49,614,100.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less: Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule) Schedule 2	78,043.	-8,633,139.			
12 Total. Add lines 1 through 11	274,092,028.	52,766,519.			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.	1,826,384.	144,091.		1,646,454.
	14 Other employee salaries and wages	4,224,510.	103,860.		4,052,248.
	15 Pension plans, employee benefits	3,200,949.	123,747.		2,309,816.
	16a Legal fees (attach schedule) Schedule 3	261,208.	71,405.		274,575.
	b Accounting fees (attach schedule) Schedule 3	240,231.	142,032.		20,675.
	c Other professional fees (attach schedule) Schedule 3	6,435,956.	3,278,646.		2,861,003.
	17 Interest	0.	0.		0.
	18 Taxes (attach schedule) (see instructions) Schedule 1A	2,032,911.	0.		0.
	19 Depreciation (attach schedule) and depletion	815,581.	25,041.		
	20 Occupancy Schedule 4	858,896.	22,666.		845,116.
	21 Travel, conferences, and meetings	937,637.	21,468.		958,747.
	22 Printing and publications	78,306.	0.		71,893.
	23 Other expenses (attach schedule) Schedule 1A	1,114,422.	55,550.		903,121.
	24 Total operating and administrative expenses. Add lines 13 through 23	22,026,991.	3,988,506.		13,943,648.
	25 Contributions, gifts, grants paid	34,439,843.			30,706,826.
26 Total expenses and disbursements. Add lines 24 and 25	56,466,834.	3,988,506.		44,650,474.	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	217,625,194.				
b Net investment income (if negative, enter -0-)		48,778,013.			
c Adjusted net income (if negative, enter -0-)					

Application for Automatic Extension of Time To File an Exempt Organization Return

▶ **File a separate application for each return.**
▶ **Information about Form 8868 and its instructions is at www.irs.gov/form8868.**

Electronic filing (e-file). You can electronically file Form 8868 to request a 6-month automatic extension of time to file any of the forms listed below with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, for which an extension request must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile, click on Charities & Non-Profits, and click on e-file for *Charities and Non-Profits*.

Automatic 6-Month Extension of Time. Only submit original (no copies needed).

All corporations required to file an income tax return other than Form 990-T (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Type or print	Enter filer's identifying number, see instructions	
	Name of exempt organization or other filer, see instructions.	Employer identification number (EIN) or
	ROCKEFELLER BROTHERS FUND, INC.	13-1760106
	Number, street, and room or suite no. If a P.O. box, see instructions.	Social security number (SSN)
475 RIVERSIDE DRIVE, SUITE 900		
City, town or post office, state, and ZIP code. For a foreign address, see instructions.		
NEW YORK, NY 10115		

Enter the Return Code for the return that this application is for (file a separate application for each return)

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

GERALDINE F. WATSON

• The books are in the care of ▶ 475 RIVERSIDE DRIVE, NEW YORK NY 10115

Telephone No. ▶ 212 812-4200 Fax No. ▶

• If the organization does not have an office or place of business in the United States, check this box

• If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) If this is for the whole group, check this box . If it is for part of the group, check this box and attach a list with the names and EINs of all members the extension is for.

1 I request an automatic 6-month extension of time until 11/15, 2018, to file the exempt organization return for the organization named above. The extension is for the organization's return for:

▶ calendar year 2017 or
▶ tax year beginning _____, 20____, and ending _____, 20____.

2 If the tax year entered in line 1 is for less than 12 months, check reason: Initial return Final return
 Change in accounting period

3a If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$	817,128.
b If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$	817,128.
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$	0.

Caution. If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

For Privacy Act and Paperwork Reduction Act Notice, see instructions.

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value		
Assets	1	Cash—non-interest-bearing		1,931,432.	3,733,937.	3,733,937.
	2	Savings and temporary cash investments		44,289,920.	30,667,149.	30,667,149.
	3	Accounts receivable ▶ 1,732,871.				
		Less: allowance for doubtful accounts ▶ 0.		1,977,381.	1,732,871.	1,732,871.
	4	Pledges receivable ▶ 262,751,705.				
		Less: allowance for doubtful accounts ▶ 0.		19,643,705.	262,751,705.	262,751,705.
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)				
	7	Other notes and loans receivable (attach schedule) ▶				
		Less: allowance for doubtful accounts ▶				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges		14,800.	13,710.	13,710.
	10a	Investments—U.S. and state government obligations (attach schedule)				
	b	Investments—corporate stock (attach schedule) .Schedule 5		83,120,471.	83,576,284.	83,576,284.
	c	Investments—corporate bonds (attach schedule)				
	11	Investments—land, buildings, and equipment: basis ▶ 510,000.				
	Less: accumulated depreciation (attach schedule) ▶ Schedule 6 0.		510,000.	510,000.	510,000.	
12	Investments—mortgage loans					
13	Investments—other (attach schedule) .Schedule 7		704,801,827.	824,236,871.	824,236,871.	
14	Land, buildings, and equipment: basis ▶ Sch 8 37,449,546.					
	Less: accumulated depreciation (attach schedule) ▶ 31,054,012.		6,065,019.	6,395,534.	6,395,534.	
15	Other assets (describe ▶ Antique Vehicle - See Schedule 8A)			15,000.	15,000.	
16	Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)		862,354,555.	1,213,633,061	1,213,633,061	
Liabilities	17	Accounts payable and accrued expenses		13,212,844.	14,145,623.	
	18	Grants payable		6,809,362.	10,543,627.	
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable (attach schedule)				
	22	Other liabilities (describe ▶ Taxes Payable)		3,971,826.	6,556,743.	
	23	Total liabilities (add lines 17 through 22)		23,994,032.	31,245,993.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here . . . ▶ <input checked="" type="checkbox"/>					
	and complete lines 24 through 26, and lines 30 and 31.					
	24	Unrestricted		807,895,677.	901,835,756.	
	25	Temporarily restricted		20,395,669.	270,408,310.	
	26	Permanently restricted		10,069,177.	10,128,002.	
	Foundations that do not follow SFAS 117, check here ▶ <input type="checkbox"/>					
	and complete lines 27 through 31.					
	27	Capital stock, trust principal, or current funds				
28	Paid-in or capital surplus, or land, bldg., and equipment fund					
29	Retained earnings, accumulated income, endowment, or other funds					
30	Total net assets or fund balances (see instructions)		838,360,523.	1,182,387,068		
31	Total liabilities and net assets/fund balances (see instructions)		862,354,555.	1,213,633,061		

Part III Analysis of Changes in Net Assets or Fund Balances			
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	838,360,523.
2	Enter amount from Part I, line 27a	2	217,625,194.
3	Other increases not included in line 2 (itemize) ▶ Schedule 1A	3	128,977,956.
4	Add lines 1, 2, and 3	4	
5	Decreases not included in line 2 (itemize) ▶ Schedule 1A	5	2,576,605.
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	1,182,387,068

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a See Schedule 1				
b				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))	
a				
b				
c				
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69.				(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any		
a				
b				
c				
d				
e				
2	Capital gain net income or (net capital loss) $\left\{ \begin{array}{l} \text{If gain, also enter in Part I, line 7} \\ \text{If (loss), enter -0- in Part I, line 7} \end{array} \right\}$		2	49,614,100.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). See instructions. If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No
If "Yes," the foundation doesn't qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.				
(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))	
2016	45,727,915.	806,038,207.	0.056732	
2015	48,608,982.	836,902,908.	0.058082	
2014	42,125,262.	839,582,744.	0.050174	
2013	40,859,797.	794,382,459.	0.051436	
2012	42,416,766.	739,358,492.	0.057370	
2	Total of line 1, column (d)		2	0.273794
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years		3	0.054759
4	Enter the net value of noncharitable-use assets for 2017 from Part X, line 5		4	870,785,083.
5	Multiply line 4 by line 3		5	47,683,320.
6	Enter 1% of net investment income (1% of Part I, line 27b)		6	487,780.
7	Add lines 5 and 6		7	48,171,100.
8	Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.		8	45,795,778.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary—see instructions)			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b	1	975,560.	
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations, enter 4% of Part I, line 12, col. (b).			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)	2		
3	Add lines 1 and 2	3	975,560.	
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)	4		
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	975,560.	
6	Credits/Payments:			
a	2017 estimated tax payments and 2016 overpayment credited to 2017	6a	817,128.	
b	Exempt foreign organizations—tax withheld at source	6b		
c	Tax paid with application for extension of time to file (Form 8868)	6c		
d	Backup withholding erroneously withheld	6d		
7	Total credits and payments. Add lines 6a through 6d	7	817,128.	
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	158,432.	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	0.	
11	Enter the amount of line 10 to be: Credited to 2018 estimated tax 0. Refunded	11		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		x
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition If the answer is "Yes" to 1a or 1b , attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		x
c Did the foundation file Form 1120-POL for this year?		x
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ \$ 0. (2) On foundation managers. ▶ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		x
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		x
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year? . See Schedule 8B		x
b If "Yes," has it filed a tax return on Form 990-T for this year? . See Schedule 8B	x	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by <i>General Instruction T</i> .		x
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	x	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	x	
8a Enter the states to which the foundation reports or with which it is registered. See instructions. ▶ See Schedule 1A		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? If "No," attach explanation	x	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2017 or the tax year beginning in 2017? See the instructions for Part XIV. If "Yes," complete Part XIV		x
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		x

Part VII-A Statements Regarding Activities (continued)

		Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>www.rbf.org</u>	X	
14	The books are in care of ► <u>Geraldine F. Watson</u> Telephone no. ► <u>(212) 812-4200</u> Located at ► <u>475 Riverside Drive New York NY</u> ZIP+4 ► <u>10115</u>		
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —check here ► <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A		
16	At any time during calendar year 2017, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ► <u>BE, IT, NL, GB, FR, DE</u>	X	

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year, did the foundation (either directly or indirectly):		
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? . . . <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? . . . <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions		X
	Organizations relying on a current notice regarding disaster assistance, check here ► <input type="checkbox"/>		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2017?		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2017, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2017? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ► <u>20</u> , <u>20</u> , <u>20</u> , <u>20</u>		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions.)		N/A
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► <u>20</u> , <u>20</u> , <u>20</u> , <u>20</u>		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2017 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2017.)		N/A
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2017?		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year, did the foundation pay or incur any amount to:		Yes	No
(1)	Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
(2)	Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
(3)	Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
(4)	Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	
(5)	Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions		5b	x
	Organizations relying on a current notice regarding disaster assistance, check here	<input type="checkbox"/>		
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? Schedule 9	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b	x
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
b	If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?		7b	N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, and foundation managers and their compensation. See instructions.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Schedule 10				

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Michael F. Northrop 475 Riverside Drive, Suite 900 New York NY 10115	Program Director 35.00	234,366.	66,644.	5,445.
Ben Rodriguez-Cubenas 475 Riverside Drive, Suite 900 New York NY 10115	Program Director 35.00	212,206.	56,376.	5,070.
Shenyu Belsky 475 Riverside Drive, Suite 900 New York NY 10115	Program Director 35.00	204,101.	43,649.	5,959.
Haki Abazi 475 Riverside Drive, Suite 900 New York NY 10115	Program Director 35.00	203,367.	62,465.	4,582.
Ariadne Papagapitos 475 Riverside Drive, Suite 900 New York NY 10115	Program Director 35.00	192,069.	42,441.	3,988.
Total number of other employees paid over \$50,000				38

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services. See instructions. If none, enter "NONE."		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
Greenrock Corporation 200 Lake Road Sleepy Hollow NY 10591	Facilities Maintenance	1,314,229.
Investure, LLC 126 Garrett Street, Suite J Charlottesville VA 22902	Investment Management	391,249.
Perella Weinberg Partners 767 Fifth Avenue New York NY 10153	Investment Management	1,819,057.
BNY Mellon Asset Servicing 225 Liberty Street New York NY 10286	Investment Custody Services	444,013.
Nicholson & Galloway, Inc. 261 Glen Head Road Glen Head NY 11545	Facilities Maintenance	418,100.
Total number of others receiving over \$50,000 for professional services		21

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 Pocantico Historic Area (See Schedule 11)	4,338,338.
2 Assistance to Charitable Organizations (See Schedule 11)	918,439.
3 Pocantico Conference Center (See Schedule 11)	291,808.
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 None	0.
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	0.

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	881,639,238.
b	Average of monthly cash balances	1b	2,406,532.
c	Fair market value of all other assets (see instructions)	1c	
d	Total (add lines 1a, b, and c)	1d	884,045,770.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	884,045,770.
4	Cash deemed held for charitable activities. Enter 1½% of line 3 (for greater amount, see instructions)	4	13,260,687.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	870,785,083.
6	Minimum investment return. Enter 5% of line 5	6	43,539,254.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	43,539,254.
2a	Tax on investment income for 2017 from Part VI, line 5	2a	975,560.
b	Income tax for 2017. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	975,560.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	42,563,694.
4	Recoveries of amounts treated as qualifying distributions	4	1,248.
5	Add lines 3 and 4	5	42,564,942.
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	42,564,942.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	44,650,474.
b	Program-related investments—total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	1,145,304.
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8; and Part XIII, line 4	4	45,795,778.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	45,795,778.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2016	(c) 2016	(d) 2017
1	Distributable amount for 2017 from Part XI, line 7				42,564,942.
2	Undistributed income, if any, as of the end of 2017:				
a	Enter amount for 2016 only			0.	
b	Total for prior years: 20____,20____,20____				
3	Excess distributions carryover, if any, to 2017:				
a	From 2012	4,562,360.			
b	From 2013	2,054,984.			
c	From 2014	0.			
d	From 2015	5,242,122.			
e	From 2016	2,528,058.			
f	Total of lines 3a through e	14,387,524.			
4	Qualifying distributions for 2017 from Part XII, line 4: ▶ \$ 45,795,778.				
a	Applied to 2016, but not more than line 2a				
b	Applied to undistributed income of prior years (Election required—see instructions)				
c	Treated as distributions out of corpus (Election required—see instructions)				
d	Applied to 2017 distributable amount				42,564,942.
e	Remaining amount distributed out of corpus	3,230,836.			
5	Excess distributions carryover applied to 2017 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6	Enter the net total of each column as indicated below:				
a	Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	17,618,360.			
b	Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d	Subtract line 6c from line 6b. Taxable amount—see instructions		0.		
e	Undistributed income for 2016. Subtract line 4a from line 2a. Taxable amount—see instructions			0.	
f	Undistributed income for 2017. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2018				0.
7	Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required—see instructions)	1,149,703.			
8	Excess distributions carryover from 2012 not applied on line 5 or line 7 (see instructions)	4,562,360.			
9	Excess distributions carryover to 2018. Subtract lines 7 and 8 from line 6a	11,906,297.			
10	Analysis of line 9:				
a	Excess from 2013	2,054,984.			
b	Excess from 2014	0.			
c	Excess from 2015	5,242,122.			
d	Excess from 2016	2,528,058.			
e	Excess from 2017	2,081,133.			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2017, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

	Tax year				(e) Total
	(a) 2017	(b) 2016	(c) 2015	(d) 2014	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test—enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test—enter ² / ₃ of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

None

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

None

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions.

a The name, address, and telephone number or email address of the person to whom applications should be addressed:

See Schedule 12

b The form in which applications should be submitted and information and materials they should include:

See Schedule 12

c Any submission deadlines:

See Schedule 12

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

See Schedule 12

Part XV **Supplementary Information** *(continued)*

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<p>a <i>Paid during the year</i> See Schedule 13</p>				30,706,826.
Total ▶				3a 30,706,826.
<p>b <i>Approved for future payment</i> See Schedule 13</p>				10,543,627.
Total ▶				3b 10,543,627.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

Table with 5 main columns: (a) Business code, (b) Amount, (c) Exclusion code, (d) Amount, and (e) Related or exempt function income. Rows include program service revenue, membership dues, interest, dividends, rental income, and other revenue, totaling 19,458,573.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Table with 2 columns: Line No. and Explanatory text. The first row contains 'Not Applicable'.

Part XVII Information Regarding Transfers to and Transactions and Relationships With Noncharitable Exempt Organizations

- 1** Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?
- | | Yes | No |
|---|-----|----|
| a Transfers from the reporting foundation to a noncharitable exempt organization of: | | |
| (1) Cash | | X |
| (2) Other assets | | X |
| b Other transactions: | | |
| (1) Sales of assets to a noncharitable exempt organization | | X |
| (2) Purchases of assets from a noncharitable exempt organization | | X |
| (3) Rental of facilities, equipment, or other assets | | X |
| (4) Reimbursement arrangements | | X |
| (5) Loans or loan guarantees | | X |
| (6) Performance of services or membership or fundraising solicitations | | X |
| c Sharing of facilities, equipment, mailing lists, other assets, or paid employees | | X |
| d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | |

(a) Line no.	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? Yes No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee: [Signature] Date: 10.29.18 Title: President

May the IRS discuss this return with the preparer shown below? See instructions. Yes No

Paid Preparer Use Only

Print/Type preparer's name David M. Highfill	Preparer's signature <u>[Signature]</u>	Date 10/28/18	Check <input type="checkbox"/> if self-employed	PTIN P01517891
Firm's name KPMG LLP		Firm's EIN 13-5565207		
Firm's address 345 PARK AVENUE		Phone no. (212) 758-9700		

BAA NEW YORK NY 10154-0102 Form **990-PF (2017)**

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Schedule of Contributors

2017

▶ **Attach to Form 990, Form 990-EZ, or Form 990-PF.**
 ▶ **Go to www.irs.gov/Form990 for the latest information.**

Name of the organization Rockefeller Brothers Fund, Inc.	Employer identification number 13-1760106
--	---

Organization type (check one):

Filers of:

Section:

- Form 990 or 990-EZ
 - 501(c)() (enter number) organization
 - 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
 - 527 political organization
- Form 990-PF
 - 501(c)(3) exempt private foundation
 - 4947(a)(1) nonexempt charitable trust treated as a private foundation
 - 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

- For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

- For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33¹/₃% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** \$5,000; or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h; or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year ▶ \$ _____

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization Rockefeller Brothers Fund, Inc.	Employer identification number 13-1760106
--	---

Part I Contributors (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	Mr. & Mrs. George D. O'Neill 1 Rockefeller Plaza, Room 2500 New York NY 10020	\$ 36,711.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
2	The William and Flora Hewlett Foundation 2121 Sand Hill Road Menlo Park CA 94025	\$ 600,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
3	Estate of David Rockefeller 1 Rockefeller Plaza, Room 2500 New York NY 10020	\$ 250,885,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II for noncash contributions.)
4	The Redlands Trust 3 Anson Road, #27-01 Springleaf Tower Singapore, SN	\$ 2,000,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
5	Neva Goodwin 1 Rockefeller Plaza, Room 2500 New York NY 10020	\$ 5,100.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
6	Eileen & Paul Growald 1 Rockefeller Plaza, Room 2500 New York NY 10020	\$ 8,500.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)

Name of organization Rockefeller Brothers Fund, Inc.	Employer identification number 13-1760106
--	---

Part I Contributors (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
7	Peggy Dulany 1 Rockefeller Plaza, Room 2500 New York NY 10020	\$ 15,300.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
8	David Rockefeller, Jr. 1 Rockefeller Plaza, Room 2500 New York NY 10020	\$ 15,300.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
9	Estate of Richard Rockefeller 1 Rockefeller Plaza, Room 2500 New York NY 10020	\$ 13,500.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
10	Foundation to Promote Open Society 224 West 57th Street New York NY 10019	\$ 750,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
11	Rockefeller Philanthropy Advisors 6 West 48th Street, 10th Fl New York NY 10036	\$ 8,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
-----	-----	\$ -----	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)

Name of organization Rockefeller Brothers Fund, Inc.	Employer identification number 13-1760106
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Part II **Noncash Property** (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
3	Hooded Stanhope Phaeton, Kimball Carriage	\$ 15,000.	09/15/2017
-----	-----	\$ -----	-----
-----	-----	\$ -----	-----
-----	-----	\$ -----	-----
-----	-----	\$ -----	-----
-----	-----	\$ -----	-----
-----	-----	\$ -----	-----
-----	-----	\$ -----	-----

Name of organization Rockefeller Brothers Fund, Inc.	Employer identification number 13-1760106
--	---

Part III **Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor.** Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of **\$1,000 or less** for the year. (Enter this information once. See instructions.) ▶ \$ _____
 Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-----	----- ----- -----	----- ----- -----	----- ----- -----

(e) Transfer of gift	
Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee
----- ----- -----	----- ----- -----

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-----	----- ----- -----	----- ----- -----	----- ----- -----

(e) Transfer of gift	
Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee
----- ----- -----	----- ----- -----

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-----	----- ----- -----	----- ----- -----	----- ----- -----

(e) Transfer of gift	
Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee
----- ----- -----	----- ----- -----

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-----	----- ----- -----	----- ----- -----	----- ----- -----

(e) Transfer of gift	
Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee
----- ----- -----	----- ----- -----

Additional information from your Form 990-PF: Return of Private Foundation**Form 990-PF: Return of Private Foundation****Other Income****Continuation Statement**

Description	Revenue and Expense per Book	Net Investment Income	Adjusted Net Income
Misc. Investment Income	62,070.	-8,633,139.	
Misc. Income from Public Programs	15,973.		
(See Schedule 2)			
Total	78,043.	-8,633,139.	

Form 990-PF: Return of Private Foundation**Taxes****Continuation Statement**

Description	Revenue and Expense per Book	Net Investment Income	Adjusted Net Income	Disbursement for charitable purpose
See Line 18 Stmt				
Property Tax	75,581.	0.		0.
Taxes on Investment Income	1,957,330.	0.		0.
Total	2,032,911.	0.		0.

Form 990-PF: Return of Private Foundation**Other Expenses****Continuation Statement**

Description	Revenue and Expense per Book	Net Investment Income	Adjusted Net Income	Disbursement for charitable purpose
See Line 23 Stmt				
Postage	4,051.			4,324.
Messenger Services	325.			325.
Dues	62,583.			0.
Capital Expenditures	3,340.			92,645.
Office Supplies	40,195.			40,025.
Maintenance & Repairs	107,462.			203,791.
Telephone & Internet	97,337.	2,919.		94,197.
IT Security Measures	71,129.			67,263.
General Insurance	371,038.	6,871.		301,825.
Equipment Leases & Rentals	41,672.			46,685.
Temporary Staffing	40,997.			42,049.
Collections Maintenance	74,545.			74,545.
Printing & Stationary	7,127.			9,009.
Books & Subscriptions	8,382.			8,382.
Misc. Expenses	184,239.	45,760.		-81,944.
Total	1,114,422.	55,550.		903,121.

Rockefeller Brothers Fund, Inc.

131760106

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Form 990-PF: Return of Private Foundation**Other Increases****Continuation Statement**

Description	Amount
See Part III, line 3 Stmt, SCHEDULE 1A	
Unrealized Appreciation on Investments	128,830,262.
Amounts Not Recognized as a Component of Net Periodic Benefit Cost	147,694.
Adjustment to Discount Pledges Receivable	
Total	128,977,956.

Form 990-PF: Return of Private Foundation**Other Decreases****Continuation Statement**

Description	Amount
See Part III, line 5 Stmt, SCHEDULE 1A	
Deferred Excise Tax Expense	2,576,605.
Total	2,576,605.

Form 990-PF: Return of Private Foundation**Reporting state****Continuation Statement**

Reporting state
NY
CA
MA

Schedule of Dividends & Interest from Securities

Part I, line 4

Dividends	1,790,671
Interest	0
Total line 4, col. (a)	\$1,790,671
Add: Dividends & Interest per Limited Partnerships K-1s	9,655,527
Total line 4, col. (b)	<u>\$11,446,198</u>

Schedule of Capital Gains and Losses

Part I, line 6a & Part IV, line 2 *

	<u>Gain or (Loss)</u>
Brandywine Global Investment Management Trust	\$ 6,286
Cantillon Global Equity LP	467,183
Convexity Capital	3,895,706
DSM Capital Partners	2,734,054
Eagle Capital Management LLC	1,519,485
Stewart Investors Worldwide Sustainability	8,057
Moon Capital Global Equity Partners Offshore Fund Ltd.	296,029
Eton Park Overseas	657,891
GMO Emerging Domestic Opportunities Fund	(28,160)
Goldman Sachs Overlay Equity Swaps	202,318
Aeolus Property Catastrophe Keystone PF Fund	286,071
Agility Global Equity Impact Fund	(200,000)
Graham Tactical Trend Energy	628,953
DFA International Sustainability Core 1 Portfolio	1,340,784
Lazard Global Listed Infrastructure Portfolio	1,648,794
OZ Credit Opportunities Overseas Fund, L.P.	545,973
Cash Account	(17,928)
Sanders Capital LLC	1,826,938
Carillon Reams Unconstrained Bond Fund	25,532
Good Hill Overseas Fund Ltd.	1,406,533

Net Realized Gain per Books (Total Part I, line 6a,col.(a))	\$ 17,250,499
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Add: Actual Net Capital Gain per limited partnerships (Schedule K-1 basis) (See attached schedule)	32,845,127
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Less: Gain/(loss) from Partnerships previously reflected in books, <u>for which K-1 values are now reflected on Form 990PF:</u>	
Brandywine Global Investment Management Trust	6,286
Cantillon Global Equity LP	467,183
Mahout Worldwide Sustainability	8,057
DFA International Sustainability Core 1 Portfolio	481,526
	<hr/>

Total Part IV, line 2	\$ 49,614,100
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* As applicable, detailed records to support acquisition and sales dates, sales price, and cost basis are maintained by the organization. Gains and losses passed through from limited partnership investments are reported directly from the Form 1065, Schedule K-1, as provided by the limited partnerships to the organization.

Schedule of Limited Partnerships' Gains and Losses per Schedules K-1

	<u>Capital Gain</u>	<u>Capital Loss</u>	<u>Net Capital Gain/(Loss)</u>
Ampersand 2001 Limited Partnership	99,441		99,441
Arrowmark Income Opportunity		(67,426)	(67,426)
Brandywine Global Investment Mgmt, LLC	126,600		126,600
Cabot Industrial Value Fund II	2,328,614		2,328,614
Cantillon Global Equity LP	944,482		944,482
Carlyle Riverstone TE Partners III, LP		(155,357)	(155,357)
Generation IM Global Equity Fund, LLC	11,494,140		11,494,140
Strategic Partners II, LP	12,466		12,466
Strategic Partners III, LP	480,026	(1,522)	478,504
Strategic Partners III VC, LP	121,255	(3,844)	117,411
Investure Evergreen Fund	11,166,072		11,166,072
J.H. Whitney IV	395		395
Kayne Anderson Energy Fund IV	11,848	(67,942)	(56,094)
Lehman Brothers NB Co-Investment Partners, LP - Cayman AIV I, LP	15,330		15,330
NB Co-Investment Partners LP	73	(233,717)	(233,644)
NB Secondary Opportunities Fund		(359,757)	(359,757)
Lexington Capital Partners II, LP	7,019		7,019
Lexington Capital Partners IV, LP		(1,071)	(1,071)
Mahout Worldwide Sustainability Fund	729,859		729,859
Natural Gas Partners VIII	81,707		81,707
New Energy Capital Infrastructure Credit Fund LP	79,342		79,342
New Enterprise Associates 10		(44,881)	(44,881)
NGP Energy Technology Partners	12,079		12,079
Ownership Capital Global Equity Fund LP	1,355,708		1,355,708
Private Advisors Small Company Buyout Fund II, LP	300,916	(120)	300,796
Siguler Guff	993,398	(66)	993,332
Sustainable Asset Fund, LP	338,483		338,483
Tensile Capital Partners LP	995,576		995,576
Three Arch Capital, LP	5,627		5,627
Three Arch Partners IV, LP	167,858		167,858
TIFF Partners IV		(16,123)	(16,123)
Weston Presidio Capital III	1,185,562		1,185,562
Weston Presidio Capital IV	154,496		154,496
Weston Presidio V, L.P.	588,581		588,581
	<u>33,796,953</u>	<u>(951,826)</u>	<u>32,845,127</u>

Schedule of Other Income/(Loss)

Part I, line 11

Miscellaneous Investment Income	62,070
Miscellaneous Income - Public Programs	15,973
Total line 11, col. (a)	78,043
Add: Other Income/(Loss) per Limited Partnerships K-1s (See attached schedule)	(8,695,209)
Less: Miscellaneous Income - Public Programs	<u>(15,973)</u>
Total line 11, col. (b)	<u>(8,633,139)</u>

Schedule of Other Income/(Loss)

Part I, line 11, col. (b)

	Other Income/(Loss)	Deductions Related to Portfolio Income	Net Other Income/(Loss)
<u>Net Income/(Loss) received from the following Partnerships:</u>			
Ampersand 2001 Limited Partnership		(463)	(463)
Arch Venture IX, L.P.		(318,005)	(318,005)
Arrowmark Income Opportunity	52,885	(329,080)	(276,195)
Brandywine Global Investment Mgmt, LLC	(89,751)	(35,850)	(125,601)
Cabot Industrial Value Fund II	24	(1,364)	(1,340)
Cantillon Global Equity LP	(207,165)	(551,996)	(759,161)
Carlyle Riverstone TE Partners III, LP		(66,503)	(66,503)
Centroak Equity Fund I LP		(220,392)	(220,392)
Clearvue Partners II, L.P.		(232,685)	(232,685)
Elevar Equity III LP		(379,510)	(379,510)
Farallon Capital F5 Investors I LP		(4,104)	(4,104)
Generation IM Global Equity Fund, LLC	(154,942)	(1,078,052)	(1,232,994)
HSREP IV Co-Investment, LP		(43,251)	(43,251)
Strategic Partners II, LP	(4)	(1,080)	(1,084)
Strategic Partners III, LP	10,164	(22,708)	(12,544)
Strategic Partners III VC, LP	39,179	(24,999)	14,180
Investure Evergreen Fund	446,366	(2,743,568)	(2,297,202)
Kayne Anderson Energy Fund III	22	(2,740)	(2,718)
Kayne Anderson Energy Fund IV	411	(1,096)	(685)
Lehman Brothers NB Co-Investment Partners, LP - Cayman AIV I, LP	(453)	(10,986)	(11,439)
NB Co-Investment Partners LP	94	(6,296)	(6,202)
NB Secondary Opportunities Fund	(3,898)	(25,130)	(29,028)
Lexington Capital Partners II, LP	2,657	(1,249)	1,408
Lexington Capital Partners IV, LP	4,001	(637)	3,364
Mahout Worldwide Sustainability Fund	1,559	(93,454)	(91,895)
North Haven Real Estate Fund V	43,571	(6,916)	36,655
Morgan Stanley Real Estate Fund VI International -TE, L.P.		(40)	(40)
Natural Gas Partners VIII	(5,057)	(1,811)	(6,868)
New Energy Capital Infrastructure Credit Fund LP	111,950	(288,248)	(176,298)
New Enterprise Associates 10	98	(241)	(143)
NGP Energy Technology Partners		(2,265)	(2,265)
OSP Value Fund II, LP		(172,647)	(172,647)
Ownership Capital Global Equity Fund LP	(16,707)	(414,212)	(430,919)
Palamon European Equity 'B', LP		(8,467)	(8,467)
Prime Finance Partners V, LP		(27,500)	(27,500)
Private Advisors Small Company Buyout Fund II, LP	948	(31,244)	(30,296)
Prospect Venture Partners		(319)	(319)
Siguler Guff	69,214	(116,995)	(47,781)
Sustainable Asset Fund, LP	(66,382)	(362,105)	(428,487)
TCV IV		(1,359)	(1,359)
Tensile Capital Partners LP	(551,326)	(283,716)	(835,042)
Three Arch Capital, LP		(1,389)	(1,389)
Three Arch Partners IV, LP		(1,868)	(1,868)
TIFF Partners IV	5,599	(36,166)	(30,567)
Turner Multifamily Impact Fund, L.P.		(301,223)	(301,223)
Weston Presidio Capital III		(962)	(962)
Weston Presidio Capital IV		(557)	(557)
Weston Presidio V AIV 1 Holdings, L.P.		(2,775)	(2,775)
Weston Presidio V, L.P.	-	(130,043)	(130,043)
	(306,943)	(8,388,266)	
		Other Income/(Loss) from Limited Partnerships	<u>(8,695,209)</u>

SCHEDULE OF EXPENDITURES

Part I, line 16(a,b,c)

Legal Fees (Patterson, Belknap, Webb & Tyler)	\$ 228,454	
Legal Fees (First Advantage)	\$ 22,754	
Legal Fees (Jochum, Shore & Trossevin, PC)	\$ 10,000	
	Total line 16a	\$ 261,208
Accounting Fees (KPMG LLP)	\$ 221,632	
Accounting Fees (Grant Thornton LLP)	18,599	
	Total line 16b	\$ 240,231
<u>Other Professional Fees:</u>		
Investment Management Fees	\$ 2,997,819	
Custody Fees	444,013	
Consultants' Fees	1,430,304	
Consultants' Fees - Benefits Services	20,451	
Facilities Maintenance	1,543,369	
	Total line 16c	\$ 6,435,956

Note: As applicable, detailed records to support expenses deductible against net investment income are maintained by the organization.

SCHEDULE OF DEPRECIATION

Part I, line 19

Description	ASSETS				ACCUMULATED DEPRECIATION		
	Beginning of Year	2017 Acquisitions	2017 Dispositions	End of Year	Reserve, beginning of Year	2017 Depreciation (Part 1, line 19)	Reserve, end of Year
Office Equipment	2,621,258	103,863		2,725,121	2,284,024	74,504	2,358,528
Computer Equipment & Software	2,173,036	158,269		2,331,305	2,136,627	63,731	2,200,358
Fine Art	4,780	0		4,780	0	0	0
Leasehold Improvements-437 Madison Ave	3,049,452	0		3,049,452	1,819,658	0	1,819,658
Leasehold Improvements-Interchurch Center	7,159,516	0		7,159,516	4,303,362	508,417	4,811,779
Leasehold Improvements	21,175,383	890,547		22,065,930	19,616,476	141,061	19,757,537
Other	61,630	3,417		65,047	45,165	12,592	57,757
Automobile	58,395	0	10,000	48,395	33,119	15,276	48,395
	36,303,450	1,156,096	10,000	37,449,546	30,238,431	815,581	31,054,012

Note: As applicable, detailed records to support expenses deductible against net investment income are maintained by the organization.

Schedule of Investments

Part II, lines 10 (a,b,c), col. (b) & (c)

Manager	As Of Date	Security Description	Shares/Par	Price	line 10a	line 10b		line 10c
					U.S. & State Gov't Obligations	Corporate Stock	Corporate Bonds	
						Cost	Market Value	
DSM CAPITAL PARTNERS	12/31/2017	DIVIDENDS RECEIVABLE	0.000	1.0000	-	927	932	-
	12/31/2017	DREYFUS TREASURY SECURITIES CM	116,598.300	100.0000	-	116,598	116,598	-
	12/31/2017	DIVIDENDS RECEIVABLE	0.000	1.0000	-	5,991	5,991	-
	12/31/2017	INTEREST RECEIVABLE	0.000	1.0000	-	727	727	-
	12/31/2017	ABBOTT LABORATORIES	11,550.000	57.0700	-	560,723	659,159	-
	12/31/2017	ADOBE INC	6,725.000	175.2400	-	565,230	1,178,489	-
	12/31/2017	ALEXION PHARMACEUTICALS INC	3,375.000	119.5900	-	433,747	403,616	-
	12/31/2017	ALIBABA GROUP HOLDING LTD	9,950.000	172.4300	-	848,212	1,715,679	-
	12/31/2017	ALPHABET INC-CL A	1,335.000	1,053.4000	-	834,542	1,406,289	-
	12/31/2017	BECTON DICKINSON AND CO	2,350.000	214.0600	-	458,667	503,041	-
	12/31/2017	CANADIAN PACIFIC RAILWAY LTD	2,075.000	182.7600	-	363,813	379,227	-
	12/31/2017	DOLLAR TREE INC	8,200.000	107.3100	-	732,091	879,942	-
	12/31/2017	FACEBOOK INC	9,775.000	176.4600	-	899,192	1,724,897	-
	12/31/2017	KANSAS CITY SOUTHERN	4,350.000	105.2200	-	398,213	457,707	-
	12/31/2017	MICROSOFT CORP	15,275.000	85.5400	-	1,144,176	1,306,624	-
	12/31/2017	MONSTER BEVERAGE CORP	18,075.000	63.2900	-	896,943	1,143,967	-
	12/31/2017	PAYPAL HOLDINGS INC	7,225.000	73.6200	-	325,574	531,905	-
	12/31/2017	PRICELINE GROUP INC/THE	500.000	1,737.7400	-	637,444	868,870	-
	12/31/2017	REGENERON PHARMACEUTICALS INC	3,090.000	375.9600	-	1,226,933	1,161,716	-
	12/31/2017	CHARLES SCHWAB CORP/THE	24,600.000	51.3700	-	949,226	1,263,702	-
	12/31/2017	STARBUCKS CORP	6,125.000	57.4300	-	341,577	351,759	-
	12/31/2017	TENCENT HOLDINGS LTD	29,900.000	51.9360	-	450,740	1,552,886	-
	12/31/2017	THERMO FISHER SCIENTIFIC INC	400.000	189.8800	-	74,077	75,952	-
	12/31/2017	TORONTO-DOMINION BANK/THE	9,800.000	58.5800	-	543,089	574,084	-
	12/31/2017	VISA INC	11,450.000	114.0200	-	879,756	1,305,529	-
	12/31/2017	WYNN RESORTS LTD	2,875.000	168.5900	-	312,890	484,696	-
	12/31/2017	ZOETIS INC	17,550.000	72.0400	-	877,048	1,264,302	-
12/31/2017	NORWEGIAN CRUISE LINE HOLDINGS	10,850.000	53.2500	-	598,488	577,763	-	
12/31/2017	ROYAL CARIBBEAN CRUISES LTD	7,275.000	119.2800	-	579,512	867,762	-	
					-	16,056,147	22,763,809	-
SANDERS CAPITAL LLC	12/31/2017	RECEIVABLE FOR INVESTMENTS SOLD	0.000	1.0000	-	45,834	46,103	-
	12/31/2017	DIVIDENDS RECEIVABLE RECLAIM	0.000	1.0000	-	84,876	85,577	-
	12/31/2017	NON-BASE CURRENCY	0.000	1.0000	-	0	0	-
	12/31/2017	PAYABLE FOR FORWARD FOREIGN EXCHANG	0.000	1.0000	-	(46,022)	(46,103)	-
	12/31/2017	NON-BASE CURRENCY	0.000	1.0000	-	0	0	-
	12/31/2017	FFX CONTRACTS RECEIVABLE	0.000	1.0000	-	917	917	-
12/31/2017	PAYABLE FOR INVESTMENTS PURCHASED	0.000	1.0000	-	(1,834)	(1,834)	-	

Schedule of Investments

Part II, lines 10 (a,b,c), col. (b) & (c)

Manager	As Of Date	Security Description	Shares/Par	Price	line 10a	line 10b		line 10c
					U.S. & State Gov't Obligations	Corporate Stock	Corporate Bonds	
						Cost	Market Value	
	12/31/2017	DIVIDENDS RECEIVABLE	0.000	1.0000	-	4,167	4,209	-
	12/31/2017	DIVIDENDS RECEIVABLE RECLAIM	0.000	1.0000	-	8,319	8,238	-
	12/31/2017	FOREIGN TAXES PAYABLE - DIVIDENDS	0.000	1.0000	-	(440)	(445)	-
	12/31/2017	DIVIDENDS RECEIVABLE	0.000	1.0000	-	7,133	7,315	-
	12/31/2017	DIVIDENDS RECEIVABLE	0.000	1.0000	-	5,013	5,043	-
	12/31/2017	FOREIGN TAXES PAYABLE - DIVIDENDS	0.000	1.0000	-	(1,103)	(1,109)	-
	12/31/2017	DIVIDENDS RECEIVABLE RECLAIM	0.000	1.0000	-	32,728	33,104	-
	12/31/2017	DREYFUS TREASURY SECURITIES CM	1,652,908.020	100.0000	-	1,652,908	1,652,908	-
	12/31/2017	DIVIDENDS RECEIVABLE	0.000	1.0000	-	14,960	14,960	-
	12/31/2017	INTEREST RECEIVABLE	0.000	1.0000	-	1,284	1,284	-
	12/31/2017	FFX CONTRACTS RECEIVABLE	0.000	1.0000	-	46,022	46,022	-
	12/31/2017	PAYABLE FOR FORWARD FOREIGN EXCHANG	0.000	1.0000	-	(917)	(917)	-
	12/31/2017	PUBLICIS GROUPE SA	5,862.000	56.6500	-	437,055	398,764	-
	12/31/2017	BNP PARIBAS SA	4,597.000	62.2500	-	201,467	343,625	-
	12/31/2017	ENEL SPA	46,800.000	5.1300	-	265,433	288,293	-
	12/31/2017	HEINEKEN NV	5,100.000	86.9300	-	513,680	532,366	-
	12/31/2017	EDP - ENERGIAS DE PORTUGAL SA	52,300.000	2.8850	-	244,040	181,183	-
	12/31/2017	CATHAY PACIFIC AIRWAYS LTD	89,600.000	12.1200	-	169,764	138,917	-
	12/31/2017	CHINA OVERSEAS LAND & INVESTME	59,100.000	25.1500	-	187,588	190,138	-
	12/31/2017	CHINA RESOURCES POWER HOLDINGS	76,000.000	14.5600	-	174,894	141,553	-
	12/31/2017	CHINA CONSTRUCTION BANK CORP	186,000.000	7.2000	-	168,008	171,312	-
	12/31/2017	HONDA MOTOR CO LTD	20,774.000	3,862.0000	-	614,510	712,199	-
	12/31/2017	SUMITOMO MITSUI FINANCIAL GROU	19,300.000	4,868.0000	-	775,707	834,020	-
	12/31/2017	CIMB GROUP HOLDINGS BHD	6,400.000	6.5400	-	9,875	10,342	-
	12/31/2017	IMPERIAL BRANDS PLC	5,700.000	31.6600	-	244,425	244,120	-
	12/31/2017	BP PLC	99,600.000	5.2270	-	803,032	704,254	-
	12/31/2017	MARKS & SPENCER GROUP PLC	79,500.000	3.1480	-	338,986	338,547	-
	12/31/2017	BARCLAYS PLC	301,375.000	2.0310	-	867,263	828,008	-
	12/31/2017	WPP PLC	20,600.000	13.4100	-	460,867	373,692	-
	12/31/2017	DBS GROUP HOLDINGS LTD	6,400.000	24.8500	-	103,369	119,006	-
	12/31/2017	UNITED OVERSEAS BANK LTD	21,478.000	26.4500	-	328,736	425,092	-
	12/31/2017	HYUNDAI MOTOR CO	1,795.000	156,000.0000	-	215,221	261,566	-
	12/31/2017	ROCHE HOLDING AG	4,400.000	246.5000	-	1,169,481	1,112,981	-
	12/31/2017	NESTLE SA	12,400.000	83.8000	-	946,550	1,066,311	-
	12/31/2017	KASIKORNBANK PCL	56,100.000	232.0000	-	334,506	399,362	-
	12/31/2017	ALPHABET INC-CL C	1,810.000	1,046.4000	-	1,396,716	1,893,984	-
	12/31/2017	ANTHEM INC	3,190.000	225.0100	-	420,572	717,782	-

Schedule of Investments

Part II, lines 10 (a,b,c), col. (b) & (c)

Manager	As Of Date	Security Description	Shares/Par	Price	line 10a	line 10b		line 10c
					U.S. & State Gov't Obligations	Corporate Stock	Corporate Bonds	
						Cost	Market Value	
	12/31/2017	APPLE INC	10,650.000	169.2300	-	1,075,286	1,802,300	-
	12/31/2017	BANK OF AMERICA CORP	47,200.000	29.5200	-	689,404	1,393,344	-
	12/31/2017	CIGNA CORP	4,265.000	203.0900	-	551,780	866,179	-
	12/31/2017	CABOT OIL & GAS CORP	29,700.000	28.6000	-	700,930	849,420	-
	12/31/2017	CALATLANTIC GROUP INC/OLD	7,192.000	56.3900	-	260,611	405,557	-
	12/31/2017	CITIGROUP INC	16,500.000	74.4100	-	722,909	1,227,765	-
	12/31/2017	DR HORTON INC	17,000.000	51.0700	-	416,159	868,190	-
	12/31/2017	EBAY INC	9,600.000	37.7400	-	309,289	362,304	-
	12/31/2017	FIFTH THIRD BANCORP	13,200.000	30.3400	-	273,097	400,488	-
	12/31/2017	GILEAD SCIENCES INC	9,700.000	71.6400	-	764,274	694,908	-
	12/31/2017	HALLIBURTON CO	3,900.000	48.8700	-	169,405	190,593	-
	12/31/2017	INTEL CORP	21,500.000	46.1600	-	637,646	992,440	-
	12/31/2017	JPMORGAN CHASE & CO	10,900.000	106.9400	-	595,803	1,165,646	-
	12/31/2017	JOHNSON & JOHNSON	7,500.000	139.7200	-	789,070	1,047,900	-
	12/31/2017	KROGER CO/THE	15,000.000	27.4500	-	318,365	411,750	-
	12/31/2017	LENNAR CORP	8,100.000	63.2400	-	363,196	512,244	-
	12/31/2017	LENNAR CORP	162.000	51.6800	-	6,171	8,372	-
	12/31/2017	MERCK & CO INC	5,300.000	56.2700	-	320,187	298,231	-
	12/31/2017	MERITAGE HOMES CORP	2,800.000	51.2000	-	108,288	143,360	-
	12/31/2017	MICROSOFT CORP	19,500.000	85.5400	-	806,735	1,668,030	-
	12/31/2017	PEPSICO INC	5,700.000	119.9200	-	632,815	683,544	-
	12/31/2017	PFIZER INC	31,200.000	36.2200	-	988,907	1,130,064	-
	12/31/2017	SHINHAN FINANCIAL GROUP CO LTD	4,600.000	46.4000	-	201,670	213,440	-
	12/31/2017	SYNCHRONY FINANCIAL	5,028.000	38.6100	-	124,422	194,131	-
	12/31/2017	TAIWAN SEMICONDUCTOR MANUFACTU	45,300.000	39.6500	-	1,015,203	1,796,145	-
	12/31/2017	UNITEDHEALTH GROUP INC	4,400.000	220.4600	-	362,100	970,024	-
	12/31/2017	MEDTRONIC PLC	11,800.000	80.7500	-	957,520	952,850	-
	12/31/2017	SAMSUNG ELECTRONICS CO LTD	1,750.000	1,198.0000	-	1,115,458	2,096,500	-
					-	28,522,290	37,628,410	-
EAGLE CAPITAL MGMT	12/31/2017	DREYFUS TREASURY SECURITIES CM	807,898.470	100.0000	-	807,898	807,898	-
	12/31/2017	DIVIDENDS RECEIVABLE	0.000	1.0000	-	8,268	8,268	-
	12/31/2017	INTEREST RECEIVABLE	0.000	1.0000	-	398	398	-
	12/31/2017	ALPHABET INC-CL C	1,549.000	1,046.4000	-	867,178	1,620,874	-
	12/31/2017	AMAZON.COM INC	1,040.000	1,169.4700	-	302,808	1,216,249	-
	12/31/2017	ANADARKO PETROLEUM CORP	4,500.000	53.6400	-	441,066	241,380	-
	12/31/2017	BANK OF AMERICA CORP	25,625.000	29.5200	-	434,362	756,450	-

Schedule of Investments

Part II, lines 10 (a,b,c), col. (b) & (c)

Manager	As Of Date	Security Description	Shares/Par	Price	line 10a	line 10b		line 10c
					U.S. & State Gov't Obligations	Corporate Stock	Corporate Bonds	
						Cost	Market Value	
	12/31/2017	WR BERKLEY CORP	4,225.000	71.6500	-	189,174	302,721	-
	12/31/2017	BERKSHIRE HATHAWAY INC	9,875.000	198.2200	-	1,281,757	1,957,423	-
	12/31/2017	CITIGROUP INC	17,700.000	74.4100	-	848,487	1,317,057	-
	12/31/2017	DISH NETWORK CORP	13,900.000	47.7500	-	894,165	663,725	-
	12/31/2017	ECOLAB INC	8,000.000	134.1800	-	872,004	1,073,440	-
	12/31/2017	FIDELITY NATIONAL INFORMATION	4,625.000	94.0900	-	271,709	435,166	-
	12/31/2017	GENERAL MOTORS CO	17,925.000	40.9900	-	622,273	734,746	-
	12/31/2017	GOLDMAN SACHS GROUP INC/THE	2,600.000	254.7600	-	439,277	662,376	-
	12/31/2017	ILG INC	4,632.000	28.4800	-	52,078	131,919	-
	12/31/2017	JPMORGAN CHASE & CO	6,900.000	106.9400	-	384,436	737,886	-
	12/31/2017	LIBERTY TRIPADVISOR HOLDINGS I	5,215.000	9.4250	-	43,723	49,151	-
	12/31/2017	MARRIOTT INTERNATIONAL INC/MD	8,450.000	135.7300	-	577,990	1,146,919	-
	12/31/2017	MICROSOFT CORP	18,800.000	85.5400	-	768,426	1,608,152	-
	12/31/2017	MORGAN STANLEY	4,989.000	52.4700	-	153,702	261,773	-
	12/31/2017	NOBLE ENERGY INC	12,600.000	29.1400	-	668,967	367,164	-
	12/31/2017	ORACLE CORP	28,900.000	47.2800	-	1,212,702	1,366,391	-
	12/31/2017	PEPSICO INC	1,900.000	119.9200	-	167,190	227,848	-
	12/31/2017	THERMO FISHER SCIENTIFIC INC	3,200.000	189.8800	-	372,718	607,616	-
	12/31/2017	TRIPADVISOR INC	9,200.000	34.4600	-	320,524	317,032	-
	12/31/2017	TWENTY-FIRST CENTURY FOX INC	19,200.000	34.1200	-	648,732	655,104	-
	12/31/2017	UNITEDHEALTH GROUP INC	4,775.000	220.4600	-	380,395	1,052,697	-
	12/31/2017	WELLS FARGO & CO	11,400.000	60.6700	-	618,888	691,638	-
	12/31/2017	AON PLC	6,600.000	134.0000	-	593,657	884,400	-
	12/31/2017	LIBERTY GLOBAL PLC	35,500.000	33.8400	-	1,247,398	1,201,320	-
	12/31/2017	LIBERTY GLOBAL PLC LILAC	3,966.000	19.8900	-	161,844	78,884	-
					-	16,654,194	23,184,064	-
Total Line 10						61,232,631	83,576,284	

Investments-Land, Buildings, Equipment

Part II, line 11

<u>Description</u>	<u>Cost or Other Basis</u>	<u>Accumulated Depreciation</u>	<u>Book Value</u>	<u>Fair Market Value</u>
Real Estate	510,000	0	510,000	510,000
Total Part II, line 11	510,000	0	510,000	510,000

Schedule of Investments- Other

Part II, line 13, col. (b) & (c)

Description:	End Of Year	
	col. (b) Book Value	col. (c) Fair Market Value
Mutual Funds		
GMO Emerging Domestic Opportunities II	8,664,358	8,664,358
Lazard Global Listed Infrastructure Portfolio Institutional	11,162,903	11,162,903
Carillon Reams Unconstrained Bond Fund	26,506,696	26,506,696
	<u>46,333,957</u>	<u>46,333,957</u>
Hedge Funds		
Aeolus Property Catastrophe Keystone PF Fund	15,644,153	15,644,153
Agility - Global Equity Impact	65,838,305	65,838,305
Arrowpoint Income Opportunity Fund QP, LP	18,345,838	18,345,838
Beach Point Dynamic Income Offshore Fund Ltd.	22,143,054	22,143,054
Brandywine Global Investment Management Trust	20,485,832	20,485,832
Cantillon Global Equity L.P.	42,460,771	42,460,771
Farallon Capital F5 Investors I, L.P.	24,975,514	24,975,514
CONVEXITY CAPITAL OFFSHORE	2,861,242	2,861,242
ETON PARK OVERSEAS FUND	355,385	355,385
Generation IM Global Equity Fund LLC	109,757,826	109,757,826
Good Hill Overseas Fund Ltd.	17,707,665	17,707,665
Hudsin Bay International Fund Ltd.	7,724,169	7,724,169
Kylin Offshore Fund Ltd	21,387,335	21,387,335
Mahout Worldwide Sustainability	23,163,276	23,163,276
Moon Capital Global Equity Partners Offshore Fund Ltd.	19,693,467	19,693,467
Ownership Capital Global Equity Fund Ltd.	48,227,722	48,227,722
OZ Credit Opportunities Overseas Fund, L.P.	3,388,392	3,388,392
PFM Healthcare Offshore Fund Ltd.	16,737,366	16,737,366
Prince Street Institutional Offshore Ltd.	25,003,646	25,003,646
Tybourne Equity Offshore	17,797,958	17,797,958
Tensile Capital Partners	18,715,978	18,715,978
	<u>542,414,894</u>	<u>542,414,894</u>
Futures		
Overlay Equity Total Return Swaps	361,079	361,079
Private Equity Funds		
Elevar Equity III, L.P.	10,660,268	10,660,268
Arrowpoint Global Opportunity Fund, Ltd.	8,883,216	8,883,216
Ampersand 2001	44,331	44,331
ARCH Venture Fund IX, L.P.	4,218,783	4,218,783
ARCH Venture Fund IX Overage, L.P.	2,094,835	2,094,835
CenterOak Equity Fund I, L.P.	3,918,749	3,918,749
ClearVue Partners II Ltd.	5,571,388	5,571,388
CSFB Strategic Partners III	1,446,674	1,446,674
CSFB Strategic Partners III VC	1,132,429	1,132,429
Generation IM Climate Solutions Fund II	6,865,052	6,865,052
IEF - 2008 Term Tranche	31,316,875	31,316,875
IEF - 2010 Special Term Tranche	23,945,969	23,945,969
IEF - 2011 Special Term Tranche	28,788,464	28,788,464
IEF - 2012 Term Tranche	23,591,199	23,591,199
KA Energy Fund III	35,775	35,775
KA Energy Fund IV	345,635	345,635
NB Co-Investment Partners LP	405,987	405,987
NB Secondary Opportunities Fund LP	82,053	82,053
NEA 10	1,697,297	1,697,297
NGP ETP	242,117	242,117
NGP VIII	43,964	43,964
OSP Value Fund II	1,329,733	1,329,733
P2 Brasil Private Infrastructure Fund III Feeder	8,347,741	8,347,741
Private Advisors Small Company Buyout Fund II, LP	403,929	403,929

Schedule of Investments- Other

Part II, line 13, col. (b) & (c)

	End Of Year	
	col. (b) Book Value	col. (c) Fair Market Value
Prospect II	35,569	35,569
Riverstone/Carlyle Global Energy III	1,415,847	1,415,847
Siguler Guff Small Buyout Opportunities Fund LP	7,367,588	7,367,588
TCV IV	13,709	13,709
Three Arch Capital Limited Partnership	101,387	101,387
Three Arch Partners IV Limited Partnership	760,589	760,589
TIFF Partners IV, LLC	374,113	374,113
Weston Presidio IV	29,926	29,926
Weston Presidio V	254,903	254,903
	<u>175,766,094</u>	<u>175,766,094</u>
Real Estate		-
Turner Multifamily Impact Fund LP	8,817,579	8,817,579
Cabot Industrial Value Fund II	546,228	546,228
Europa II	529,039	529,039
Europa Fund IV, L.P.	7,830,954	7,830,954
HSREP IV Co-Investment LP	6,643,851	6,643,851
Mainstream Renewable Power Limited	1,577,465	1,577,465
Morgan Stanley RE Fund V	526,952	526,952
Morgan Stanley RE Fund VI	413,073	413,073
New Energy Capital Infrastructure Credit Fund, L.P.	9,549,567	9,549,567
Orion European Real Estate II	147,139	147,139
Prime Finance Partners V, L.P.	8,325,363	8,325,363
Vision Ridge Sustainable Asset Fund	14,453,637	14,453,637
	<u>59,360,847</u>	<u>59,360,847</u>
Total line 13, col. (b) & (c)	<u>824,236,871</u>	<u>824,236,871</u>

SCHEDULE OF LAND, BUILDINGS & EQUIPMENT

Part II, line 14, col. (b) & (c)

<u>Description</u>	<u>Cost</u>	<u>Accumulated Depreciation</u>	<u>Net Basis</u>
Office Equipment	2,725,121	2,358,528	\$ 366,593
Computer Equipment & Software	2,331,305	2,200,358	130,947
Fine Art	4,780	-	4,780
Leasehold Improvements	32,274,898	26,388,974	5,885,924
Other	65,047	57,757	7,290
Automobile	48,395	48,395	-
	<u>\$ 37,449,546</u>	<u>\$ 31,054,012</u>	
		Total line 14	\$ 6,395,534

ROCKEFELLER BROTHERS FUND, INC.
13-1760106
475 Riverside Drive, Suite 900
New York, New York 10115

SCHEDULE 8A
FORM 990-PF
YEAR 2017

STATEMENT REGARDING ACTIVITIES

Part II, line 15

Reconciling Item to 2017 Audited Financial Statements

Other assets with a FMV of \$15,000 represent donated tangible personal property (a hooded Stanhope Phaeton, Kimball carriage) received from the Estate of David Rockefeller on 9/15/17. This asset is not included on the books, but is reflected on the 2017 Form 990PF.

STATEMENT REGARDING ACTIVITIES

Part VII-A, lines 4 (a) & (b)

2017 Tax Return on Form 990-T

Certain investments of the Rockefeller Brothers Fund report pass through income which represents unrelated business income. In 2017, an overall net operating loss was generated from these investments in the aggregate.

The Rockefeller Brothers Fund will file a Form 990-T for 2017 to report pass through income from the Fund's partnership investments.



Rockefeller Brothers Fund
Philanthropy for an Interdependent World

GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY – 2017

1. Name and Address of Recipient:
THE ADM CAPITAL FOUNDATION LIMITED
2406 9 Queen's Road Central
Hong Kong
China
2. Date and Amount of Grant:
6/29/2017 \$200,000
3. Purpose of Grant:
For its China Water Risk initiative.
4. Amount Expended by Grantee:
The entire amount. Grantor received from Grantee narrative and financial reports certifying that the entire amount of the grant was expended for the purpose for which it was made.
5. Whether Grantee—to the knowledge of Grantor—made any diversion of funds from the purpose of the grant:
No.
6. Date of Reports received from Grantee:
On 3/2/2018, Grantor received from Grantee narrative and financial reports certifying that the entire amount of the grant was expended for the purpose for which it was made.
7. Dates and Results of the Verification of Grantee's Report(s):
Not required.



Rockefeller Brothers Fund
Philanthropy for an Interdependent World

GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY – 2017

1. Name and Address of Recipient:
BALKAN GREEN FOUNDATION
2 Korriku 2/3
Pristina 10000
Kosovo
2. Date and Amount of Grant:
5/18/2016 \$154,000
3. Purpose of Grant:
For general support.
4. Amount Expended by Grantee:
The entire amount. Grantor received from Grantee narrative and financial reports certifying that the entire amount of the grant was expended for the purpose for which it was made.
5. Whether Grantee—to the knowledge of Grantor—made any diversion of funds from the purpose of the grant:
No.
6. Date of Reports received from Grantee:
On 2/28/2017 and 5/5/2018, Grantor received from Grantee narrative and financial reports certifying that the entire amount of the grant was expended for the purpose for which it was made.
7. Dates and Results of the Verification of Grantee’s Report(s):
Not required.



Rockefeller Brothers Fund
Philanthropy for an Interdependent World

GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY – 2017

1. Name and Address of Recipient:
BALKAN GREEN FOUNDATION
2 Korriku 2/3
Pristina 10000
Kosovo
2. Date and Amount of Grant:
6/29/2017 \$120,000
3. Purpose of Grant:
For general support.
4. Amount Expended by Grantee:
\$73,359.63. Grantor received from Grantee narrative and financial reports certifying that \$73,359.63 of the grant was expended for the purpose for which it was made.
5. Whether Grantee—to the knowledge of Grantor—made any diversion of funds from the purpose of the grant:
No.
6. Date of Reports received from Grantee:
On 3/30/2018 and 4/18/2018, Grantor received from Grantee narrative and financial reports certifying that \$73,359.63 of the grant was expended for the purpose for which it was made. During the period of the grant, Grantee was determined to be the equivalent of a public charity. Therefore, Expenditure Responsibility is no longer required, and this schedule will not be included in subsequent Forms 990-PF.
7. Dates and Results of the Verification of Grantee’s Report(s):
Not required.



Rockefeller Brothers Fund
Philanthropy for an Interdependent World

GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY – 2017

1. Name and Address of Recipient:
BEIJING CHAOYANG DISTRICT YONGXU GLOBAL ENVIRONMENTAL INSTITUTE
Tayuan Diplomatic Residence Office Building
No. 14 Liangmahe South Road
Unit 1, Suite 32
Chaoyang District
Beijing 100062
China
2. Date and Amount of Grant:
10/19/2016 \$250,000
3. Purpose of Grant:
For its project, U.S.-China Climate Leadership in the Least Developed Countries.
4. Amount Expended by Grantee:
The entire amount. Grantor received from Grantee narrative and financial reports certifying that the entire amount of the grant was expended for the purpose for which it was made.
5. Whether Grantee—to the knowledge of Grantor—made any diversion of funds from the purpose of the grant:
No.
6. Date of Reports received from Grantee:
On 4/1/2017 and 2/28/18, Grantor received from Grantee narrative and financial reports certifying that the entire amount of the grant was expended for the purpose for which it was made.
7. Dates and Results of the Verification of Grantee’s Report(s):
Not required.



Rockefeller Brothers Fund
Philanthropy for an Interdependent World

GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY – 2017

1. Name and Address of Recipient:
CLIMATE POLICY INITIATIVE, INC.
235 Montgomery Street, 13th Floor
San Francisco, CA 94104
United States
2. Date and Amount of Grant:
3/15/2017 \$200,000
3. Purpose of Grant:
For its Advisory Finance Group.
4. Amount Expended by Grantee:
The entire amount. Grantor received from Grantee narrative and financial reports certifying that the entire amount of the grant was expended for the purpose for which it was made.
5. Whether Grantee—to the knowledge of Grantor—made any diversion of funds from the purpose of the grant:
No.
6. Date of Reports received from Grantee:
On 3/2/2018, Grantor received from Grantee narrative and financial reports certifying that the entire amount of the grant was expended for the purpose for which it was made.
7. Dates and Results of the Verification of Grantee’s Report(s):
Not required.



Rockefeller Brothers Fund
Philanthropy for an Interdependent World

GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY – 2017

1. Name and Address of Recipient:
DEMOCRATIZATION POLICY COUNCIL E.V.
Sybelstr. 10
Berlin 10629
Germany
2. Date and Amount of Grant:
3/29/2017 \$60,000
3. Purpose of Grant:
For general support.
4. Amount Expended by Grantee:
The entire amount. Grantor received from Grantee narrative and financial reports certifying that the entire amount of the grant was expended for the purpose for which it was made.
5. Whether Grantee—to the knowledge of Grantor—made any diversion of funds from the purpose of the grant:
No.
6. Date of Reports received from Grantee:
On 2/28/2018 and 6/17/2018, Grantor received from Grantee narrative and financial reports certifying that the entire amount of the grant was expended for the purpose for which it was made.
7. Dates and Results of the Verification of Grantee’s Report(s):
Not required.



Rockefeller Brothers Fund
Philanthropy for an Interdependent World

GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY – 2017

1. Name and Address of Recipient:
DIREKT36 UJSAGIRO KOZPONT NONPROFIT KFT.
Pozsonyi ut 10
Budapest 1137
Hungary
2. Date and Amount of Grant:
12/5/2016 \$30,000
3. Purpose of Grant:
For general support.
4. Amount Expended by Grantee:
The entire amount. Grantor received from Grantee narrative and financial reports certifying that the entire amount of the grant was expended for the purpose for which it was made.
5. Whether Grantee—to the knowledge of Grantor—made any diversion of funds from the purpose of the grant:
No.
6. Date of Reports received from Grantee:
On 2/28/2017 and 2/28/2018, Grantor received from Grantee narrative and financial reports certifying that the entire amount of the grant was expended for the purpose for which it was made.
7. Dates and Results of the Verification of Grantee’s Report(s):
Not required.



Rockefeller Brothers Fund
Philanthropy for an Interdependent World

GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY – 2017

1. Name and Address of Recipient:
EUROPEAN MIDDLE EAST PROJECT
Huidevettersstraat 165
Brussels B-1000
Belgium
2. Date and Amount of Grant:
10/27/2016 \$50,000
3. Purpose of Grant:
For general support.
4. Amount Expended by Grantee:
The entire amount. Grantor received from Grantee narrative and financial reports certifying that the entire amount of the grant was expended for the purpose for which it was made.
5. Whether Grantee—to the knowledge of Grantor—made any diversion of funds from the purpose of the grant:
No.
6. Date of Reports received from Grantee:
On 3/1/2017 and 3/19/2018, Grantor received from Grantee narrative and financial reports certifying that the entire amount of the grant was expended for the purpose for which it was made.
7. Dates and Results of the Verification of Grantee's Report(s):
Not required.



Rockefeller Brothers Fund
Philanthropy for an Interdependent World

GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY – 2017

1. Name and Address of Recipient:
FOUNDATION FOR MIDDLE EAST PEACE
1761 N Street, NW
Washington, D.C. 20036
United States
2. Date and Amount of Grant:
11/9/2016 \$8,000
3. Purpose of Grant:
For its Israel/Palestine funders' coalition.
4. Amount Expended by Grantee:
The entire amount. Grantor received from Grantee narrative and financial reports certifying that the entire amount of the grant was expended for the purpose for which it was made.
5. Whether Grantee—to the knowledge of Grantor—made any diversion of funds from the purpose of the grant:
No.
6. Date of Reports received from Grantee:
On 11/29/2017, Grantor received from Grantee narrative and financial reports certifying that the entire amount of the grant was expended for the purpose for which it was made.
7. Dates and Results of the Verification of Grantee's Report(s):
Not required.



Rockefeller Brothers Fund
Philanthropy for an Interdependent World

GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2017

1. Name and Address of Recipient:
GLOBAL FUND FOR COMMUNITY FOUNDATIONS
Registered Office Community House
Citilink Business Park
Belfast BT12 4HQ
Northern Ireland
2. Date and Amount of Grant:
9/27/2017 \$50,000
3. Purpose of Grant:
For general support.
4. Amount Expended by Grantee:
\$0. Grantor received from Grantee narrative and financial reports certifying that \$0 of the grant was expended for the purpose for which it was made. A report on the balance of \$50,000 will be due in 2019.
5. Whether Grantee—to the knowledge of Grantor—made any diversion of funds from the purpose of the grant:
No.
6. Date of Reports received from Grantee:
On 6/4/2018, Grantor received from Grantee narrative and financial reports certifying that \$0 of the grant was expended for the purpose for which it was made. A report on the balance of \$50,000 will be due in 2019.
7. Dates and Results of the Verification of Grantee’s Report(s):
Not required.



Rockefeller Brothers Fund
Philanthropy for an Interdependent World

GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY – 2017

1. Name and Address of Recipient:
GLOBAL FUND FOR COMMUNITY FOUNDATIONS
Registered Office Community House
Citilink Business Park
Belfast BT12 4HQ
Northern Ireland
2. Date and Amount of Grant:
12/18/2017 \$95,000
3. Purpose of Grant:
For its project, the Global Alliance for Community Philanthropy, as well as for its work on community philanthropy in China.
4. Amount Expended by Grantee:
\$7,687. Grantor received from Grantee narrative and financial reports certifying that \$7,687 of the grant was expended for the purpose for which it was made. A report on the balance of \$87,313 will be due in 2019.
5. Whether Grantee—to the knowledge of Grantor—made any diversion of funds from the purpose of the grant:
No.
6. Date of Reports received from Grantee:
On 6/20/2018, Grantor received from Grantee narrative and financial reports certifying that \$7,687 of the grant was expended for the purpose for which it was made. A report on the balance of \$87,313 will be due in 2019.
7. Dates and Results of the Verification of Grantee’s Report(s):
Not required.



Rockefeller Brothers Fund
Philanthropy for an Interdependent World

GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY – 2017

1. Name and Address of Recipient:
GROWALD FAMILY FUND, INC.
55 Pond Avenue, Suite 202E
Brookline, MA 02445
United States
2. Date and Amount of Grant:
6/7/2017 \$75,000
3. Purpose of Grant:
To co-fund its staff position of Asia Climate Finance Director.
4. Amount Expended by Grantee:
The entire amount. Grantor received from Grantee narrative and financial reports certifying that the entire amount of the grant was expended for the purpose for which it was made.
5. Whether Grantee—to the knowledge of Grantor—made any diversion of funds from the purpose of the grant:
No.
6. Date of Reports received from Grantee:
On 2/26/2018, Grantor received from Grantee narrative and financial reports certifying that the entire amount of the grant was expended for the purpose for which it was made.
7. Dates and Results of the Verification of Grantee’s Report(s):
Not required.



Rockefeller Brothers Fund
Philanthropy for an Interdependent World

GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY – 2017

1. Name and Address of Recipient:
VACLAV HAVEL LIBRARY
Ostroni 129/13
Prague 11000
Czech Republic
2. Date and Amount of Grant:
6/29/2016 \$35,000
3. Purpose of Grant:
For its e-learning project.
4. Amount Expended by Grantee:
The entire amount. Grantor received from Grantee narrative and financial reports certifying that the entire amount of the grant was expended for the purpose for which it was made.
5. Whether Grantee—to the knowledge of Grantor—made any diversion of funds from the purpose of the grant:
No.
6. Date of Reports received from Grantee:
On 2/28/2017 and 2/28/2018, Grantor received from Grantee narrative and financial reports certifying that the entire amount of the grant was expended for the purpose for which it was made.
7. Dates and Results of the Verification of Grantee’s Report(s):
Not required.



Rockefeller Brothers Fund
Philanthropy for an Interdependent World

GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY – 2017

1. Name and Address of Recipient:
INITIATIVE FOR PROGRESS
Rr/Str Ahmet Kaciku - No. 35, Fifth Floor
Ferizaj 70000
Kosovo
2. Date and Amount of Grant:
7/20/2017 \$20,000
3. Purpose of Grant:
For general support.
4. Amount Expended by Grantee:
\$0. Grantor received from Grantee narrative and financial reports certifying that \$0 of the grant was expended for the purpose for which it was made. A report on the balance of \$20,000 will be due in 2019.
5. Whether Grantee—to the knowledge of Grantor—made any diversion of funds from the purpose of the grant:
No.
6. Date of Reports received from Grantee:
On 2/28/2018, Grantor received from Grantee narrative and financial reports certifying that \$0 of the grant was expended for the purpose for which it was made. A report on the balance of \$20,000 will be due in 2019.
7. Dates and Results of the Verification of Grantee’s Report(s):
Not required.



Rockefeller Brothers Fund
Philanthropy for an Interdependent World

GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY – 2017

1. Name and Address of Recipient:
INVESTOR WATCH
40 Bermondsey Street
London SE1 3UD
United Kingdom

2. Date and Amount of Grant:
8/2/2017 \$50,000

3. Purpose of Grant:
For its NDC Interconnect project.

4. Amount Expended by Grantee:
The entire amount. Grantor received from Grantee narrative and financial reports certifying that the entire amount of the grant was expended for the purpose for which it was made.

5. Whether Grantee—to the knowledge of Grantor—made any diversion of funds from the purpose of the grant:
No.

6. Date of Reports received from Grantee:
On 8/31/2018, Grantor received from Grantee narrative and financial reports certifying that the entire amount of the grant was expended for the purpose for which it was made.

7. Dates and Results of the Verification of Grantee’s Report(s):
Not required.



Rockefeller Brothers Fund
Philanthropy for an Interdependent World

GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY – 2017

1. Name and Address of Recipient:
RAMON MAGSAYSAY AWARD FOUNDATION
1680 Roxas Boulevard
Manila 1004
Philippines
2. Date and Amount of Grant:
8/19/2010 \$150,000
3. Purpose of Grant:
To support the 2010 Ramon Magsaysay Awards.
4. Amount Expended by Grantee:
\$135,000. Grantor received from Grantee narrative and financial reports certifying that \$135,000 of the grant was expended for the purpose for which it was made. A report on the balance of \$15,000 will be due in 2019. Grantee is equivalent to a private operating foundation and therefore not subject to a pass-through requirement.
5. Whether Grantee—to the knowledge of Grantor—made any diversion of funds from the purpose of the grant:
No.
6. Date of Reports received from Grantee:
On 10/11/2010, 9/15/2011, 11/3/2013, 9/24/2014, 10/23/2015, 10/14/2017, and 9/14/2018, Grantor received from Grantee narrative and financial reports certifying that \$135,000 of the grant was expended for the purpose for which it was made. A report on the balance of \$15,000 will be due in 2019.
7. Dates and Results of the Verification of Grantee’s Report(s):
Not required.



Rockefeller Brothers Fund
Philanthropy for an Interdependent World

GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY – 2017

1. Name and Address of Recipient:
OTTONOMY
Rrrugae Elbanasit, pall. ABAU
Sh. 1, kati 5, nr. 10
Tirana 0001
Albania
2. Date and Amount of Grant:
6/8/2016 \$30,000
3. Purpose of Grant:
For general support.
4. Amount Expended by Grantee:
The entire amount. Grantor received from Grantee narrative and financial reports certifying that the entire amount of the grant was expended for the purpose for which it was made.
5. Whether Grantee—to the knowledge of Grantor—made any diversion of funds from the purpose of the grant:
No.
6. Date of Reports received from Grantee:
On 3/1/2017 and 5/14/2018, Grantor received from Grantee narrative and financial reports certifying that the entire amount of the grant was expended for the purpose for which it was made.
7. Dates and Results of the Verification of Grantee’s Report(s):
Not required.



Rockefeller Brothers Fund
Philanthropy for an Interdependent World

GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2017

1. Name and Address of Recipient:
RES FOUNDATION
3A Zmaja od Noca St.
Belgrade 11000
Serbia
2. Date and Amount of Grant:
8/31/2016 \$60,000
3. Purpose of Grant:
For general support.
4. Amount Expended by Grantee:
The entire amount. Grantor received from Grantee narrative and financial reports certifying that the entire amount of the grant was expended for the purpose for which it was made.
5. Whether Grantee—to the knowledge of Grantor—made any diversion of funds from the purpose of the grant:
No.
6. Date of Reports received from Grantee:
On 2/28/2017, 11/3/2017, and 11/22/2017, Grantor received from Grantee narrative and financial reports certifying that the entire amount of the grant was expended for the purpose for which it was made.
7. Dates and Results of the Verification of Grantee's Report(s):
Not required.



Rockefeller Brothers Fund
Philanthropy for an Interdependent World

GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY – 2017

1. Name and Address of Recipient:
RES FOUNDATION
3A Zmaja od Noca St.
Belgrade 11000
Serbia
2. Date and Amount of Grant:
12/11/2017 \$60,000
3. Purpose of Grant:
For general support.
4. Amount Expended by Grantee:
\$4,543.12. Grantor received from Grantee narrative and financial reports certifying that \$4,543.12 of the grant was expended for the purpose for which it was made. A report on the balance of \$55,456.88 will be due in 2019.
5. Whether Grantee—to the knowledge of Grantor—made any diversion of funds from the purpose of the grant:
No.
6. Date of Reports received from Grantee:
On 3/1/2018, Grantor received from Grantee narrative and financial reports certifying that \$4,543.12 of the grant was expended for the purpose for which it was made. A report on the balance of \$55,456.88 will be due in 2019.
7. Dates and Results of the Verification of Grantee's Report(s):
Not required.



Rockefeller Brothers Fund
Philanthropy for an Interdependent World

GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY – 2017

1. Name and Address of Recipient:
SCHOOL OF PUBLIC LIFE FOUNDATION
101 Pasareti ut
Budapest 1026
Hungary
2. Date and Amount of Grant:
8/2/2017 \$30,000
3. Purpose of Grant:
For general support.
4. Amount Expended by Grantee:
\$16,942.51. Grantor received from Grantee narrative and financial reports certifying that \$16,942.51 of the grant was expended for the purpose for which it was made. A report on the balance of \$13,057.49 will be due in 2019.
5. Whether Grantee—to the knowledge of Grantor—made any diversion of funds from the purpose of the grant:
No.
6. Date of Reports received from Grantee:
On 3/27/2018, Grantor received from Grantee narrative and financial reports certifying that \$16,942.51 of the grant was expended for the purpose for which it was made. A report on the balance of \$13,057.49 will be due in 2019.
7. Dates and Results of the Verification of Grantee’s Report(s):
Not required.



Rockefeller Brothers Fund
Philanthropy for an Interdependent World

GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY – 2017

1. Name and Address of Recipient:
THE VOICES PROJECT
Raleigh House, 14c Compass Point
St. Ives PE27 5JL
United Kingdom
2. Date and Amount of Grant:
3/15/2017 \$150,000
3. Purpose of Grant:
For general support.
4. Amount Expended by Grantee:
The entire amount. Grantor received from Grantee narrative and financial reports certifying that the entire amount of the grant was expended for the purpose for which it was made.
5. Whether Grantee—to the knowledge of Grantor—made any diversion of funds from the purpose of the grant:
No.
6. Date of Reports received from Grantee:
On 4/3/2018, Grantor received from Grantee narrative and financial reports certifying that the entire amount of the grant was expended for the purpose for which it was made.
7. Dates and Results of the Verification of Grantee’s Report(s):
Not required.



Rockefeller Brothers Fund
Philanthropy for an Interdependent World

GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY – 2017

1. Name and Address of Recipient:
ZHONGSHAN UNIVERSITY EDUCATION DEVELOPMENT FOUNDATION
Second Floor, No. 333, Northeast District of Sun Yat-sen University
No. 135 Xingang West Road
Guangzhou, Guangdong
China
2. Date and Amount of Grant:
10/21/2016 \$150,000
3. Purpose of Grant:
For its School of Philanthropy.
4. Amount Expended by Grantee:
The entire amount. Grantor received from Grantee narrative and financial reports certifying that the entire amount of the grant was expended for the purpose for which it was made.
5. Whether Grantee—to the knowledge of Grantor—made any diversion of funds from the purpose of the grant:
No.
6. Date of Reports received from Grantee:
On 8/14/17 and 9/17/2018, Grantor received from Grantee narrative and financial reports certifying that the entire amount of the grant was expended for the purpose for which it was made.
7. Dates and Results of the Verification of Grantee’s Report(s):
Not required.

Compensation of Officers, Directors, and TrusteesPart VIII, (1)

<u>Name and Address</u>	<u>Title</u>		<u>Average Hours per Week Devoted to Position</u>	<u>Compensation</u>	<u>Contributions to Employee Benefit Plans</u>	<u>Expense Account and Other Allowances</u>
TRUSTEES:						
David Rockefeller c/o Rockefeller Brothers Fund 475 Riverside Drive, Suite 900 New York, N.Y. 10115	Advisory Trustee	(1)	1-3 hrs.	- 0 -	None	None
Anne Bartley c/o Rockefeller Brothers Fund 475 Riverside Drive, Suite 900 New York, N.Y. 10115	Trustee	(2)	1-3 hrs.	- 0 -	None	None
David Rockefeller, Jr. c/o Rockefeller Brothers Fund 475 Riverside Drive, Suite 900 New York, N.Y. 10115	Trustee	(3)	1-3 hrs.	- 0 -	None	None
Valerie Rockefeller c/o Rockefeller Brothers Fund 475 Riverside Drive, Suite 900 New York, N.Y. 10115	Trustee, Chair	(4) (5)	10 hrs.	- 0 -	None	None
Joseph A. Pierson c/o Rockefeller Brother Fund 475 Riverside Drive, Suite 900 New York, N.Y. 10115	Trustee	(3)	1-3 hrs.	- 0 -	None	829
Miranda M. Kaiser c/o Rockefeller Brother Fund 475 Riverside Drive, Suite 900 New York, N.Y. 10115	Trustee		1-3 hrs.	- 0 -	None	None
Wendy Gordon Rockefeller c/o Rockefeller Brothers Fund 475 Riverside Drive, Suite 900 New York, N.Y. 10115	Trustee, Vice Chair	(4) (5)	1-3 hrs.	- 0 -	None	None
Justin Rockefeller c/o Rockefeller Brothers Fund 475 Riverside Drive, Suite 900 New York, N.Y. 10115	Trustee		1-3 hrs.	- 0 -	None	None
Arlene Shuler c/o Rockefeller Brothers Fund 475 Riverside Drive, Suite 900 New York, N.Y. 10115	Trustee		1-3 hrs.	- 0 -	None	829

Compensation of Officers, Directors, and TrusteesPart VIII, (1)

<u>Name and Address</u>	<u>Title</u>	<u>Average Hours per Week Devoted to Position</u>	<u>Compensation</u>	<u>Contributions to Employee Benefit Plans</u>	<u>Expense Account and Other Allowances</u>
Marsha Simms c/o Rockefeller Brothers Fund 475 Riverside Drive, Suite 900 New York, N.Y. 10115	Trustee	1-3 hrs.	- 0 -	None	829
Kavita Ramdas c/o Rockefeller Brothers Fund 475 Riverside Drive, Suite 900 New York, N.Y. 10115	Trustee	1-3 hrs.	- 0 -	None	829
Daniel Levy c/o Rockefeller Brothers Fund 475 Riverside Drive, Suite 900 New York, N.Y. 10115	Trustee	1-3 hrs.	- 0 -	None	829
Peter O'Neill c/o Rockefeller Brothers Fund 475 Riverside Drive, Suite 900 New York, N.Y. 10115	Trustee	1-3 hrs.	- 0 -	None	None
Hugh Lawson c/o Rockefeller Brothers Fund 475 Riverside Drive, Suite 900 New York, N.Y. 10115	Trustee	(5) 1-3 hrs.	- 0 -	None	None
Marnie S. Pillsbury c/o Rockefeller Brothers Fund 475 Riverside Drive, Suite 900 New York, N.Y. 10115	Trustee	(5) 1-3 hrs.	- 0 -	None	None
Jennifer Nolan c/o Rockefeller Brothers Fund 475 Riverside Drive, Suite 900 New York, N.Y. 10115	Trustee	(5) 1-3 hrs.	- 0 -	None	None
Ryan Crocker c/o Rockefeller Brothers Fund 475 Riverside Drive, Suite 900 New York, N.Y. 10115	Trustee	1-3 hrs.	- 0 -	None	None
Heather McGhee c/o Rockefeller Brothers Fund 475 Riverside Drive, Suite 900 New York, N.Y. 10115	Trustee	1-3 hrs.	- 0 -	None	None

Compensation of Officers, Directors, and Trustees

Part VIII, (1)

<u>Name and Address</u>	<u>Title</u>	<u>Average Hours per Week Devoted to Position</u>	<u>Compensation</u>	<u>Contributions to Employee Benefit Plans</u>	<u>Expense Account and Other Allowances</u>
Wyatt Rockefeller c/o Rockefeller Brothers Fund 475 Riverside Drive, Suite 900 New York, N.Y. 10115	Trustee	1-3 hrs.	- 0 -	None	None
OFFICERS:					
Stephen B. Heintz 475 Riverside Drive, Suite 900 New York, N.Y. 10115	Trustee, President	(4) (5) 40-50 hrs.	636,540	183,016	6,802
Elizabeth C. Campbell 475 Riverside Drive, Suite 900 New York, N.Y. 10115	Vice President For Programs	(4) 40-50 hrs.	371,912	77,518	6,170
Geraldine F. Watson 475 Riverside Drive, Suite 900 New York, N.Y. 10115	Vice President, Finance and Operations	(4) 40-50 hrs.	355,705	76,627	5,284
Nancy L. Muirhead 475 Riverside Drive, Suite 900 New York, N.Y. 10115	Corporate Secretary	(4) 40-50 hrs.	247,743	79,596	4,896
Judy A. Clark 475 Riverside Drive, Suite 900 New York, N.Y. 10115	Executive Director of the Pocantico Center	(4) 40-50 hrs.	214,484	66,445	4,232
			\$ 1,826,384	\$ 483,202	\$ 31,529

(1) *Until his death on March 20, 2017.*

(2) *Until June 21, 2017.*

(3) *Elected to the Board effective June 21, 2017.*

(4) *Elected as officers effective June 21, 2017.*

(5) *Re-elected to Board effective June 21, 2017.*

Summary of Direct Charitable Activities

PART IX-A

Line 1 Pocantico Historic Area

The Pocantico Center is managed by the Rockefeller Brothers Fund as part of its agreement with the National Trust for Historic Preservation, entered into in 1991, under which the Fund is responsible for the renovation, maintenance, and operation of this historic site. It also serves as a community resource, and offers public access through a visitation program, lectures, and cultural events. In 2017, 36,390 people visited the Pocantico Historic area, and 51 public programs were held.

Amount: \$4,338,338

Line 2 Assistance to Charitable Organizations:

During 2017, 12 members of the staff of Rockefeller Brothers Fund provided technical assistance to charitable organizations, governmental bodies and committees, and 13 members of the staff served on 50 charitable organizations' boards, committees, public commissions, or task forces.

Amount: \$918,439

Line 3 Pocantico Conference Center

The Pocantico Conference Center of the Rockefeller Brothers Fund, located within the Pocantico Historic Area (see Line 1, above), began operations in April of 1994. The Pocantico Center is a venue for conferences and meetings on critical issues related to the Rockefeller Brothers Fund's mission. The Pocantico Center also hosts artist residencies, and provides performance space for artists selected by RBF grantees and New York City arts organizations. In 2017, The Pocantico Center held 57 conferences and meetings. Of the 57 conferences held, 23 were related to the Fund's program areas, while others served general charitable purposes consistent with its mission. There were 13 Pocantico artist residencies in 2017.

Amount: \$291,808

PART XV, Line 2a

Grant Inquiries are accepted throughout the year at <https://www.rbf.org/grantmaking/grant-opportunities>. The online Grant Inquiry is the starting point for applying for a grant from the Rockefeller Brothers Fund.

PART XV, Line 2b

Founded in 1940, the Rockefeller Brothers Fund advances social change that contributes to a more just, sustainable, and peaceful world. The Fund's grantmaking is organized in three thematic programs that support work in the United States and at the global level: Democratic Practice, Sustainable Development, and Peacebuilding, and in two pivotal place programs that address these themes in specific contexts: China and the Western Balkans. The Charles E. Culpeper Arts & Culture program, focused on New York, nurtures a vibrant and inclusive arts community in the Fund's home city.

Rockefeller Brothers Fund staff are highly engaged in the fields in which they provides support. Staff members actively identify organizations that are well-positioned to meet programmatic goals. While the Fund remains open to unsolicited requests, applicants should be aware that the likelihood of an unsolicited request becoming a grant is low. Applications for funding are considered throughout the year. The first step for prospective applicants is to submit an online grant inquiry. The review process may take up to three months from the time the inquiry is received.

Staff review each inquiry for fit within current program guidelines, funding priorities, and budget constraints. If there is interest in considering a grant, staff will contact the applicant for additional information, including a detailed proposal. Applicants should not send a full proposal unless requested to do so by Fund staff. The review process may take up to three months from the time the inquiry is received.

Once a grant is approved, grantees will receive an agreement letter from the Fund outlining the terms of the grant, including the expected reporting requirements and payment schedule. The first payment on the grant is generally issued upon return of the countersigned award letter to the Fund.

RBF staff members monitor grants throughout their life cycle. Each grantee is required to submit narrative and financial reports at specified intervals during the grant as well as at its conclusion. Grant reports become part of the Fund's permanent records.

PART XV, Line 2c

The Charles E. Culpeper Arts & Culture program honors the legacy of Charles E. Culpeper by supporting the artists and arts and cultural organizations that make New York City one of the world's most dynamic creative capitals. These grants support the creative process, build the capacity of small and mid-size arts and cultural institutions, and promote the pursuit of the creative life. The application re-opens at the start of each calendar year and are submissions accepted on a rolling basis.

ELIGIBILITY

- Organizations based within the five boroughs of New York City
- Organizations with 501(c)(3) tax status or projects of sponsoring organizations with 501(c)(3) tax status

- For capacity-building grants, organizations with annual operating budgets under \$4 million
- Generally, no capital campaigns, festivals, meetings, cash reserve funds, or endowments
- Support for arts education has been phased out

PART XV, Line 2d

The Rockefeller Brothers Fund awards grants throughout the year to support a variety of charitable projects in the United States and abroad that seek to expand knowledge, clarify values and critical choices, nurture creative expression, and shape public policy.

To be eligible for a grant, organizations should be considered tax-exempt or seeking support for a project that would qualify as educational or charitable. The Fund's grantmaking is organized around three thematic programs and three place-based programs. The RBF awards a limited number of Philanthropic Stewardship and Special Opportunities grants that support nonprofit infrastructure organizations and help to further the Fund's mission. The Fund does not accept unsolicited applications in these areas.

Please also see t <https://www.rbf.org/grantmaking/what-we-fund#notfund>.

RBF PROGRAM STATEMENT

The Rockefeller Brothers Fund advances social change that contributes to a more just, sustainable, and peaceful world. Through its grantmaking, the Fund supports efforts to expand knowledge, clarify values and critical choices, nurture creative expression, and shape public policy. The Fund's programs are intended to develop leaders, strengthen institutions, engage citizens, build community, and foster partnerships that include government, business, and civil society. Respect for cultural diversity and ecological integrity pervades the Fund's activities.

As an institutional citizen of an interdependent world, the Fund is active globally, nationally, and locally in its home city of New York. Grant programs are organized around three themes: Democratic Practice; Peacebuilding; and Sustainable Development. The Fund recognizes that achievement of progress in each of these program areas is often interconnected with developments in the others. As a private foundation, the Fund strives to promote philanthropic excellence and to enhance the effectiveness of the nonprofit sector.

As specified in the guidelines for each grant program, the Fund supports activities in a variety of geographic contexts. It also has identified several specific locations on which to concentrate cross-programmatic attention. The Fund refers to these as "RBF pivotal places": sub-national areas, nation-states, or cross-border regions which have special importance with regard to the Fund's substantive concerns and whose future will have disproportionate significance for the future of a surrounding region, an ecosystem, or the world. The Fund currently works in two pivotal places: Southern China and the Western Balkans. The Charles E. Culpeper Arts & Culture program, focused on New York, nurtures a vibrant and inclusive arts community in the Fund's home city. The Pocantico Center of the Rockefeller Brothers Fund is located at the heart of the Rockefeller estate outside New York City and was created when the Fund leased the area from the National Trust for Historic Preservation in 1991. The conference center provides a unique setting where the RBF and other nonprofit organizations and public sector institutions can bring together people of diverse backgrounds and perspectives to engage critical issues,

reach new levels of understanding, and develop creative solutions to pressing problems.

In the years since its founding in 1940, the Fund has developed a distinctive style of grantmaking that is reflected in the following characteristics:

Long View. Grantmaking is primarily concerned with fundamental problems and is designed to contribute to the achievement of long-term goals and to make a lasting impact.

Commitment. Extended commitments are frequently made to specific issues and geographic regions and even to particular grantees.

Synergy. Rather than considering opportunities on a stand-alone basis, the Fund looks for connections among the activities it supports and the themes it pursues, both within and across program areas and in specific geographic locations.

Initiative. The Fund initiates or participates in the development of many of the projects that it supports.

Engagement. In addition to providing financial support, the Fund often works closely with grantee organizations to help strengthen their capacity and advance their work

Collaboration. The Fund actively seeks opportunities to collaborate with other funders.

Convening. The Fund devotes time and resources, including the use of its Pocantico Conference Center, to convening groups of diverse stakeholders and encouraging collaboration among government agencies, corporations, and nongovernmental organizations.

The goals and strategies in each of our programs are implemented through a variety of approaches to grantmaking. In some programs, as is noted in the guidelines, the Fund proactively identifies grantee partners and thus has limited ability to respond to unsolicited proposals. Grantseekers are encouraged to study the guidelines closely. Prospective grantees are also urged to consult the section [“How to Apply”](#) at www.rbf.org for specific guidance on the application process.

RBF PROGRAMS

DEMOCRATIC PRACTICE PROGRAM GUIDELINES

For democracy to flourish and deliver on its promises—including political participation, human rights, access to justice, a good education, an improved quality of life, a healthy environment, and personal security—its citizens must be informed, engaged, empowered, and assertive. Similarly, institutions of governance must be inclusive, transparent, accountable, and responsive.

The frequent failure of both new and established democracies to deliver on their promises undermines the commitment to democratic practices. Wealthy and powerful actors exercise undue influence, and voices that historically have been excluded remain unheard in decision-making processes.

The United States continues to face a number of democratic deficits: a decline in many traditional forms of civic engagement; reduced participation in the formal institutions of democracy, including but not limited to voting; and declining trust in all institutions, especially institutions of government. These

deficits are being exacerbated by deeply rooted economic inequality, and American society is becoming increasingly polarized, socially, economically, and politically.

At the same time, the process of globalization has similarly produced democratic deficits in global governance. Global power and wealth inequities have deepened, while the significance of decisions made by transnational institutions such as multilateral organizations, multinational corporations, and international financial institutions has increased. In this patchwork of institutions and practices, global governance decisions are made with inadequate inclusiveness, accountability, and transparency, often pre-empting or distorting legitimate national and local decision-making processes.

The Fund's Democratic Practice program has two parts: advancing a vital and inclusive democracy in the United States and strengthening democratic practice in global governance. Based on a careful assessment of local needs and priorities, the Fund also pursues one or more of the democratic principles underlying the program in its "pivotal places." Recognizing that there is no single model of effective democratic practice, the Fund emphasizes flexibility and adaptability to different contexts in these pivotal places.

DEMOCRACY IN THE UNITED STATES

Democracy in the United States is facing myriad challenges as persistent and deep divisions continue to undermine the nation's social, economic, and political vitality. The current U.S. political system suffers from outsized influence of money in politics, extreme partisanship, retrenchment of voting rights, issues with outdated and inefficient election administration, and concentrations of power in narrow segments of society not reflective of the larger population. Alternatively, new opportunities for systemic reform are developing and gaining traction. The nation is seeing a resurgence of grassroots political activism, protest, and a democratization of both traditional and social media. Digital resources are fueling different kinds of engagement and activism that are reaching people in entirely new ways. Further, the ability to leverage creative investigative and solution-based journalism and broadly available government and election data to improve both democratic systems and grassroots civic engagement provides exciting opportunities to build a vital and inclusive 21st-century democracy.

The Fund recognizes that the gaps between rich and poor, and white and non-white, are widening, while the diversity of elected officials remains misaligned with the electorate, fundamentally undermining the quality of representative democracy. Exorbitant amounts of private money spent on political campaigns and lobbying by a very small percentage of the electorate profoundly distort the political system. Others without the financial resources to influence public policy are further marginalized, undermining the ability of voters and constituents to hold elected officials accountable and fostering public cynicism and distrust of elected officials and public institutions.

The quality of our political culture continues to deteriorate. Consequently, there are fewer and fewer examples of true bipartisanship and constructive compromise in state and federal legislatures. Additionally, partisan actors, with a goal of achieving partisan supremacy rather than ensuring democratic fairness, exert disproportionate control over voting rights, poll access, and redistricting. Participation in national elections remains below that of most advanced democracies, and turnout for local elections is persistently low. Moreover, fair, efficient, and effective election administration is undermined by inaccurate voter rolls and outdated processes and technology. In addition, eligible voters have been kept from the polls by restrictive voting laws, or worse, by overt voter-suppression efforts.

Meaningful and informed public participation in all phases of democracy in the United States provides the foundation for a truly vibrant democracy. The Fund believes that innovation in traditional grassroots organizing strategies, development of opportunities for underrepresented populations in civic leadership, and effective integration of digital media and communications into civic life are promising ways to improve public participation in governance. Authentic public participation in democracy lays the groundwork for substantive policy reforms that are a true reflection of our representative democracy.

Goal: Advance a Vital and Inclusive Democracy in the United States

In the United States, the Fund seeks to strengthen and to broaden participation in the practices and institutions of democratic governance, foster greater transparency, accountability, and responsiveness of government institutions, and promote social, economic, and racial justice.

Strategies:

- Combating the corrupting influence of money in politics by supporting the adoption of public financing of electoral campaigns including judicial elections and selected other reforms to enhance the integrity of representative democracy.
- Increasing opportunities for meaningful citizen participation in democratic systems through election and voting reforms, including improvements in voting rights, election laws, redistricting processes, and election administration.
- Supporting select innovations, such as the application of new technologies and advances in organizing methods that strengthen advocacy or expand opportunities for underrepresented populations to influence policy outcomes.

The Democratic Practice—U.S. program works to enhance the quality of American democracy through support for high leverage opportunities at the federal, state, and local levels (including New York City as the Fund's home).

GLOBAL CHALLENGES

The dramatic increase in cross-border flows of capital, goods, and people and their values and ideas—“globalization”—is producing deep interdependencies and changes in power relations. It is a defining process of the 21st century, offering both challenges and opportunities.

Public engagement in decision making across all levels of governance must contend nowadays with powerful global actors, forces, and institutions, presenting profound challenges to democracy. Economic interests have largely overshadowed democratic practices, social equity, and environmental concerns in the evolution of global institutions. Powerful international trade and financial institutions remain opaque and exclusive, and the power and reach of multinational corporations often escape public scrutiny or effective regulation. Thus, although the impact of global forces on peoples' lives is growing, they face enormous impediments to both defend their existing rights and engage to meet new global challenges.

At the same time, globalization has opened up new opportunities for building cross-border, citizen-based coalitions, which are finding innovative ways to frame, address, and resolve global problems. Evolving

understandings of planetary limits and the drivers of climate change have given rise to citizen groups pressing for economic and environmental rights. Common experiences of inequality and the erosion of democracy have led to demands for changes in how rules of the global economy are written—and in whose interest. New technologies and ways of organizing undergird citizen networks working across languages, geographies, and cultures. These novel combinations of grassroots, professional, public, private, intergovernmental, and nongovernmental organizations are analyzing global processes, articulating alternatives, and advancing in democratic practice and accountability to address global challenges.

Goal: Advance Democratic Practices to Address Global Challenges

Strategies:

- Supporting evidence-based analysis and communication of the workings and impact of global processes and institutions.
- Supporting citizen organizing and other initiatives to align the rules of global commerce and energy and infrastructure finance, with social equity and environmental sustainability.
- Advancing select innovative ideas to frame and address global challenges and opportunities.

Priority is given to initiatives led by and in support of constituencies and perspectives underrepresented in efforts to forge solutions to global challenges.

SUSTAINABLE DEVELOPMENT PROGRAM GUIDELINES

Human activity is causing climate change, rapid loss of biodiversity, and accelerating degradation of Earth's life support systems. These developments threaten the livelihoods, health, and security of people in all nations and cultures as well as the well-being of the greater community of life. The RBF's sustainable development grantmaking endeavors to address these challenges by supporting development that meets the needs of the present without compromising the ability of future generations to meet their own needs. The program supports global stewardship that is ecologically based, economically sound, socially just, culturally appropriate, and consistent with intergenerational equity. The Fund encourages government, business, and civil society to work collaboratively on climate change, to acknowledge the moral and ethical consequences of inaction, and to make it an integral part of all development planning and activity. Recognizing the global nature of many environmental problems, the Fund also promotes international cooperation in addressing these challenges.

The Sustainable Development program maintains a significant focus on the United States in light of its disproportionate impact on the global economy, politics, and the environment. The program's work is also advanced in collaboration with the Fund's "pivotal place" programs—New York City, Southern China, and the Western Balkans—and with the Democratic Practice program's Global Governance portfolio. Pivotal place programs support work in specific countries or regions to build the knowledge, policies, organizational capacity, and leadership needed to advance sustainable development in locally appropriate ways. The Fund's Global Governance portfolio supports broad participation in forging the international agreements and institutional arrangements needed to encourage investment in sustainable development. Fund staff work to ensure that global developments inform work in specific places and that

locally grounded efforts generate lessons and innovations needed for global impact.

With the recognition that the impact of unchecked climate change threatens all other conservation efforts, the Sustainable Development program focuses its U.S. grantmaking on building a green economy at the federal, state, and local levels.

Goal: Advancing Solutions to Climate Change

Strategies:

- Building public and policymaker understanding and support for a range of actions to address the threat of climate change.
- Supporting implementation efforts to build a clean energy economy at the federal, state, and local levels.
- Supporting efforts to reduce reliance on carbon-intensive energy sources.
- Supporting targeted efforts to advance international progress on climate change.

The Sustainable Development program is focused on efforts to promote effective climate policies in the United States through support for high-leverage opportunities at the federal, state, and municipal levels (including New York City as the Fund's home). In addition, the program provides support for select international efforts to advance global climate negotiations.

PEACEBUILDING PROGRAM GUIDELINES

Numerous and diverse factors drive contemporary conflicts, from strained relations between governments and groups of people to threats that transcend borders such as environmental crises, the flow of weapons, and violent extremism. In today's interdependent world, regional or local conflicts often have consequences that undermine the security and well-being of distant communities. Transforming conflict to build just and durable peace requires the global community to think differently about how it responds to the complex nature of 21st century challenges. Governments, multilateral organizations, and civil society must work in concert to develop a new framework for conflict prevention and peacebuilding that engages international, regional, state, and local actors in addressing the human security challenges of local populations.

As the world evolves rapidly toward a multipolar reality in which a growing array of nations pursue their national interests from positions of shifting political and economic power, the United States continues to play an important role in global efforts to create a more just, sustainable, and peaceful world. U.S. policies and behaviors that demonstrate respect for the competencies and perspectives of both traditional allies and emerging powers can help create a greater sense of shared responsibility and advance collective problem-solving to prevent, manage, and resolve conflicts. Civil society organizations have a significant role to play in promoting non-violent responses to conflict and cultivating the political climate necessary for official peacebuilding and diplomatic negotiations to succeed. They also are important advocates for a more robust multilateral system that is effective at brokering peace agreements.

The Fund's Peacebuilding program aspires to strengthen grassroots constituencies for peace and to connect them with policymaking on the regional and international levels. It aims to understand the conditions that lead to violence and the processes that support durable peace in order to identify innovative solutions to the most pressing drivers of insecurity. The program's grantmaking focuses on conflicts that have a disproportionate influence on international peace and security. It works closely with the Fund's Pivotal Place programs, especially in the Western Balkans, and with the Global Governance portfolio of the Democratic Practice program. The Fund places particular importance on elevating the role of women and young leaders in international peacebuilding and conflict transformation efforts.

Goal: Advancing Just and Durable Peace

The Fund will pursue the following interrelated strategies with respect to select conflicts.

Strategies:

- Supporting innovative and collaborative approaches and policies for conflict prevention, management, and transformation at the multilateral, regional, and national levels.
- Strengthening constituencies and political will for conflict transformation and durable peace.

The program is currently focusing a significant portion of its grantmaking on the wider Middle East.

CULPEPER ARTS & CULTURE PROGRAM GUIDELINES

The Charles E. Culpeper Arts & Culture grants honor the legacy of Charles E. Culpeper by supporting the artists and arts and cultural organizations that make New York City one of the world's most dynamic creative capitals. The Fund is inspired by the conviction that arts and culture promote free expression, foster a deeper understanding of human experience and diverse communities, and provide a fresh lens on persistent problems and emerging challenges. The Fund aims to strengthen the cultural environment of the city by supporting its rich artistic community and the diversity of the city's population. Special interests of the Fund are to sustain and advance small and mid-size cultural organizations, particularly those that are community-based and/or culturally specific, and to provide opportunities for artists of color and other underrepresented artists to contribute to the cultural vitality of New York City. The Fund also seeks to encourage mainstream, larger institutions to present underrepresented artists and to find innovative ways to engage new and diverse audiences.

The Pocantico Center, located in Tarrytown, New York, is an important resource in the Fund's efforts to support artists and the creative process, advance cultural awareness, and foster artistic collaborations. The center provides time and space for aesthetic exploration and opportunities for nurturing artistic imagination.

Grants support the creative process and build the capacity of small and mid-size arts and cultural institutions across all arts disciplines, and promote diversity in the city's creative life. Capacity-building grants support strategic planning, technology enhancements, board and staff development, marketing, and outreach initiatives.

Goal: Nurture a Vibrant and Inclusive Arts Community in New York City

Strategies:

- Supporting organizations that assist individual artists and the creative process with an emphasis on providing opportunities for artists of color and other underrepresented artists.
- Providing artist residencies, in partnership with grantee organizations, at The Pocantico Center.
- Building capacity in small and mid-size cultural organizations, particularly those that are community-based and/or reflect the city's diversity.

The Fund prioritizes support for organizations with annual budgets under \$4 million. Given limited resources and to enable the Fund to be responsive to a wider range of applicants, grantee organizations must wait a minimum of three years from their grant final payment before reapplying. The Fund does not make grants to individuals.

PIVOTAL PLACE: SOUTHERN CHINA PROGRAM GUIDELINES

In March 2004, the Rockefeller Brothers Fund decided to concentrate its Asian grantmaking in southern China, one of the fastest growing and most dynamic regions of the world. This focus builds on the Fund's history of philanthropy in East and Southeast Asia and continues over a century of Rockefeller family philanthropy in China which includes the founding of the Peking Union Medical College in 1917.

The Fund's geographic area of interest encompasses the nine diverse and increasingly interconnected provinces of the Pan Pearl River Delta—Guangdong, Guangxi, Hunan, Yunnan, Sichuan, Guizhou, Fujian, Hainan, and Jiangxi—as well as the municipality of Chongqing and, to a lesser extent, Hong Kong and Macau. This region accounts for more than one-third of China's population and 33 percent of its GDP. It has played a pivotal role in China's extraordinary progress over the last 35 years, progress which includes, among many achievements, the elevation of hundreds of millions of people out of extreme poverty and the growth of China's economy into the second largest in the world. In practice, RBF grantmaking also connects work in southern China with Beijing-based and international institutions and stakeholders because of their importance in addressing regional, national, and global sustainable development challenges.

China's rapid development, however, has been accompanied by profound challenges, including severe environmental degradation with adverse human health and economic impacts. As the Chinese government and people take significant steps to address environmental pollution, climate change, and their impact on public health in an increasingly integrated way, the Fund will assist these efforts by engaging with and fostering collaborations between the government, business, civil society, and academia. The Fund will encourage solutions that take into account social, economic, and cultural considerations and emphasize capacity building at all levels. At the core of the RBF's work in southern China is a fundamental belief in the wisdom, creativity, and resourcefulness of the Chinese people. With innovative government policy, a vibrant marketplace, and growing public participation, China has the potential to lead the world in sustainable approaches to development.

SUSTAINABLE DEVELOPMENT

Goal: Advance Healthy and Low-Carbon Development

Strategies:

- Supporting evidence-based policies that mitigate environmental pollution, climate change, and risks to human health.
- Strengthening public participation in environmental governance by promoting accountability, transparency, and access to information.
- Engaging the private sector and catalyzing market forces to advance sustainable practices.
- Encouraging the development of indigenous philanthropy in support of a healthy and low-carbon future.

The Fund's grantmaking in China focuses on southern China; it also connects work in southern China with Beijing-based and international institutions and stakeholders because of their importance in addressing regional, national, and global sustainable development challenges.

PIVOTAL PLACE: WESTERN BALKANS PROGRAM GUIDELINES

The Rockefeller Brothers Fund (RBF) has been engaged in grantmaking in the Western Balkans since 2001. At that time, in light of the region's long history of instability and the recent conclusion of the Balkan wars of the 1990s, the prospect of a peaceful, democratic, and prosperous Europe hinged, in part, on the Balkans' successful transition to a post-Communist and post-conflict era. During its first years in the Western Balkans, the Fund's grantmaking aimed to nurture healthy democratic processes, support regional initiatives to debunk persistent myths and prejudices, and encourage development of a vibrant civil society. The Fund's work in the Western Balkans, and especially in Serbia, Montenegro, Kosovo, and Bosnia and Herzegovina, builds on its 20-year past experience in Central and Eastern Europe, supporting transition processes and helping to create conditions for their accession to the European Union.

The countries of the Western Balkans are focused on realizing their European integration aspirations, as accession brings with it the promise of stability and rule of law—necessary prerequisites for long-term peace, prosperity, and democracy. To support that vision, the Fund will help create the enabling legal, fiscal, and political environment for EU membership, giving special attention to strengthening democratic practice, enabling sustainable development, and documenting and facing the consequences of past conflicts. Engagement and leadership of youth in building a sustainable future for the region and creating a new narrative based on the region's diversity and European values are central to the RBF's support in the region.

The Fund focuses on Serbia, Montenegro, Kosovo, and Bosnia and Herzegovina to help them complete the process of integration into the EU. The interdependence among the countries, common challenges

they face, and the potential they possess together as a subregion of the European Union present a unique opportunity for successful integration. The Fund supports civil society initiatives that advance collaboration and enhance the capacity for sustainable development and enduring peace in the region.

The RBF is pursuing the following goal and strategies across the Western Balkans region:

Goal: Support the successful integration of the Western Balkans into the European Union

Strategies:

- Supporting civil society efforts to improve practices, performance, transparency, and accountability in governance.
- Advancing sustainable development, focusing on an efficient regional energy sector based on renewable energy resources.
- Strengthening constituencies for reconciliation and enduring peace.
- Encouraging the development of indigenous philanthropy and corporate social responsibility to support participatory democracy and models of sustainable development.

The RBF works closely with civil society groups, governmental institutions, and other funding organizations to highlight the richness of the region's ethnic, gender, age, cultural, and racial diversity and to promote the values of a pluralistic and inclusive society.

PART XV, Lines 3(a) and 3(b) Grants and Contributions Paid During the Year and Approved for Future Payment

SCHEDULE OF GRANTS TO ORGANIZATIONS

FYE 12/31/2017

NOTE: There are no relationships between any individual grantee and any foundation manager or substantial contributor.

Recipient and Purpose	Tax Status	Beginning Balance	Approved in 2017	Lapsed in 2017	Paid in 2017	Ending Balance
2030, Inc. 607 Cerrillos Road Santa Fe, NM 87505 <i>To advance sustainable architecture in China.</i>	PC	\$60,455	\$0	\$0	\$60,455	\$0
2030, Inc. 607 Cerrillos Road Santa Fe, NM 87505 <i>For its Building Carbon Emissions Reduction analysis for New York City.</i>	PC	\$0	\$150,000	\$0	\$150,000	\$0
2030, Inc. 607 Cerrillos Road Santa Fe, NM 87505 <i>For its Achieving Zero project.</i>	PC	\$0	\$550,000	\$0	\$250,000	\$300,000
350.org 20 Jay Street, Suite 732 Brooklyn, NY 11201 <i>For general support.</i>	PC	\$0	\$200,000	\$0	\$200,000	\$0
The ADM Capital Foundation Limited 2406 9 Queen's Road Central Hong Kong China <i>For its China Water Risk initiative.</i>	PF	\$0	\$400,000	\$0	\$200,000	\$200,000
Advanced Energy Economy Institute 135 Main Street, Suite 1320 San Francisco, CA 94105 <i>For its 21st Century Electricity System Initiative.</i>	PC	\$0	\$75,000	\$0	\$75,000	\$0
Advocates Abroad 4 Parados Ag. Apostolon 3 Mytilene, Lesvos 81100 Greece <i>For general support.</i>	PC	\$0	\$15,000	\$0	\$15,000	\$0
Afghanistan Analysts Network Weitzgruender Strasse 48 Berlin, 12623 Germany <i>For general support.</i>	PC	\$0	\$50,000	\$0	\$50,000	\$0
Alvin Ailey Dance Foundation, Inc. 405 West 55th Street New York, NY 10019-4402 <i>For the New Works Fund of its Alvin Ailey American Dance Theater.</i>	PC	\$0	\$35,000	\$0	\$35,000	\$0
Akevot Institute for Israeli-Palestinian Conflict Research 12 David Hachmi Street Tel Aviv-Jaffa, 6777812 Israel <i>For general support.</i>	PC	\$0	\$15,000	\$0	\$15,000	\$0

ALIGN: The Alliance for a Greater New York, Inc. 50 Broadway, 29th Floor New York, NY 10004 <i>For its Climate Works for All campaign.</i>	PC	\$0	\$200,000	\$0	\$200,000	\$0
Alliance for Justice 11 Dupont Circle, NW, 2nd Floor Washington, D.C. 20036 <i>For its Bolder Advocacy program assessment project.</i>	PC	\$0	\$25,000	\$0	\$25,000	\$0
Alliance of Resident Theatres/New York, Inc. 520 Eighth Avenue, Suite 319 New York, NY 10018 <i>For its project, ArtsPool.</i>	PC	\$0	\$50,000	\$0	\$50,000	\$0
Alter Habitus - Institute for Social and Cultural Studies Dardania, rr.b. 4/4 F6 no. 5 Pristina, 10000 Kosovo <i>For general support.</i>	PC	\$0	\$20,000	\$0	\$20,000	\$0
American Baptist Churches in the USA 55 Washington Square South New York, NY 10012 <i>For its project, the NYC New Sanctuary Coalition of its Judson Memorial Church.</i>	PC	\$0	\$75,000	\$0	\$75,000	\$0
American Civil Liberties Union Foundation, Inc. 125 Broad Street, 18th Floor New York, NY 10004-2400 <i>For its immigrants' rights work.</i>	PC	\$0	\$75,000	\$0	\$75,000	\$0
American Council for an Energy Efficient Economy 529 14th Street, NW, Suite 600 Washington, D.C. 20045-1000 <i>For its Clean Tax Cuts project.</i>	PC	\$0	\$40,000	\$0	\$40,000	\$0
American Council for an Energy Efficient Economy 529 14th Street, NW, Suite 600 Washington, D.C. 20045-1000 <i>For its project, Defending Fuel Economy Standards in 2018</i>	PC	\$0	\$100,000	\$0	\$100,000	\$0
The American Friends of Move the Company 20 West 9th Street, #4F New York, NY 10011 <i>For a residency at The Pocantico Center.</i>	PC	\$0	\$45,000	\$0	\$45,000	\$0
American Prospect, Inc. Suite 600 Washington, D.C. 20005 <i>For its project, Resisting Assaults on Democracy and Rebuilding a Progressive Agenda.</i>	PC	\$0	\$30,000	\$0	\$30,000	\$0
Americans for a Vibrant Palestinian Economy 5 Revere Drive, Suite 200 Northbrook, IL 60062-8000 <i>For general support.</i>	PC	\$0	\$20,000	\$0	\$20,000	\$0
The Apollo Theater Foundation, Inc. 253 West 125th Street New York, NY 10027 <i>For the development and presentation of a new work blending spoken word, classical opera, and movement.</i>	PC	\$0	\$100,000	\$0	\$100,000	\$0
University of Arizona Foundation P.O. Box 210109 Tucson, AZ 85721-0109 <i>For its Revive Civility and Respect project.</i>	PC	\$0	\$75,000	\$0	\$75,000	\$0

Art 21, Inc. 133 West 25th Street, Suite 3E New York, NY 10001 <i>For the transformation of its website and the creation of new content.</i>	PC	\$0	\$100,000	\$0	\$50,000	\$50,000
Ashoka 1700 North Moore Street, Suite 2000 Arlington, VA 22209 <i>For general support.</i>	PC	\$0	\$25,000	\$0	\$25,000	\$0
Asia Society 725 Park Avenue New York, NY 10021-5088 <i>For fostering greater understanding of China's NGO environment.</i>	PC	\$0	\$25,000	\$0	\$25,000	\$0
Asian Americans Advancing Justice - AAJC, Inc. Suite 1050 Washington, D.C. 20036 <i>For general support.</i>	PC	\$0	\$100,000	\$0	\$50,000	\$50,000
Aspen Global Change Institute, Inc. 104 Midland Avenue, Suite 205 Basalt, CO 81621 <i>For its Climate Communication project.</i>	PC	\$0	\$150,000	\$0	\$75,000	\$75,000
The Aspen Institute, Inc. Suite 700 Washington, D.C. 20036 <i>For its Congressional Program.</i>	PC	\$128,935	\$0	\$0	\$128,935	\$0
The Aspen Institute, Inc. Suite 700 Washington, D.C. 20036 <i>For its Congressional Program.</i>	PC	\$0	\$350,000	\$0	\$78,250	\$271,750
Association for the Development and Research of Performing Arts in BiH Kontakt Bihacka 5 Sarajevo, 71000 Bosnia and Herzegovina <i>For general support.</i>	PC	\$0	\$50,000	\$0	\$50,000	\$0
Association of Independent Broadcast Media in Kosovo Mbreti Zogu 3/1 Pristina, 10000 Kosovo <i>For general support.</i>	PC	\$0	\$30,000	\$0	\$30,000	\$0
Atlantic Council of the U.S., Inc. 1030 15th Street, NW, 12th Floor Washington, D.C. 20005 <i>For its South Asia Center.</i>	PC	\$0	\$100,000	\$0	\$100,000	\$0
Australian Environmental Grantmakers Network 39 Little Collins Street Melbourne, VIC 3000 Australia <i>For general support.</i>	PC	\$0	\$50,000	\$0	\$25,000	\$25,000
Ayni Institute, Inc. 14 Cushing Avenue Boston, MA 02125 <i>For its project, Cosecha.</i>	PC	\$0	\$75,000	\$0	\$75,000	\$0

Balkan Green Foundation 2 Korriku 2/3 Pristina, 10000 Kosovo <i>For general support.</i>	PF	\$0	\$240,000	\$0	\$120,000	\$120,000
Balkan Investigative Reporting Network Mesa e Studenteve, Kati i Pare Pristina, 10000 Kosovo <i>For general support.</i>	PC	\$100,000	\$0	\$0	\$100,000	\$0
Balkan Investigative Reporting Network Mesa e Studenteve, Kati i Pare Pristina, 10000 Kosovo <i>For general support.</i>	PC	\$0	\$200,000	\$0	\$100,000	\$100,000
Balkan Investigative Reporting Regional Network Branilaca Sarajeva 14 Sarajevo, 71000 Bosnia and Herzegovina <i>For general support.</i>	PC	\$0	\$180,000	\$0	\$90,000	\$90,000
Ballet Theatre Foundation, Inc. 890 Broadway, 3rd Floor New York, NY 10003-1278 <i>For its Women Choreographers initiative.</i>	PC	\$0	\$100,000	\$0	\$50,000	\$50,000
Belgrade Open School Masarikova 5/16 Belgrade, 11000 Serbia <i>For general support.</i>	PC	\$50,000	\$0	\$0	\$50,000	\$0
BOFFO, Inc. Unit 22 New York, NY 10012 <i>For general support.</i>	PC	\$0	\$120,000	\$0	\$60,000	\$60,000
Heinrich-Boll-Stiftung e.V. Schumannstrasse 8 Berlin, 10117 Germany <i>For work on the global governance of climate engineering.</i>	PC	\$0	\$100,000	\$0	\$100,000	\$0
Trustees of Boston University 745 Commonwealth Avenue Boston, MA 02215 <i>For the Global Economic Governance Initiative of its Frederick S. Pardee School of Global Studies.</i>	PC	\$0	\$300,000	\$0	\$258,028	\$41,972
Breaking the Silence P.O. Box 51027 Tel Aviv, 6713206 Israel <i>For general support.</i>	PC	\$50,000	\$0	\$0	\$50,000	\$0
William J. Brennan, Jr., Center for Justice, Inc. Suite 1750 New York, NY 10271 <i>For its Money in Politics and Fair Courts projects.</i>	PC	\$200,000	\$0	\$0	\$200,000	\$0
William J. Brennan, Jr., Center for Justice, Inc. Suite 1750 New York, NY 10271 <i>For its redistricting projects.</i>	PC	\$0	\$50,000	\$0	\$50,000	\$0
William J. Brennan, Jr., Center for Justice, Inc. Suite 1750 New York, NY 10271 <i>For its Brennan Center Senior Fellowship.</i>	PC	\$0	\$100,000	\$0	\$100,000	\$0

Broadway Cares/Equity Fights AIDS, Inc. 165 West 46th Street, Suite 1300 New York, NY 10036 <i>For the development of new work and performance opportunities in its Dancers Responding to AIDS program.</i>	PC	\$0	\$35,000	\$0	\$35,000	\$0
Bronx Museum of the Arts 1040 Grand Concourse Bronx, NY 10456-3999 <i>For general support.</i>	PC	\$0	\$75,000	\$0	\$75,000	\$0
The Brookings Institution 1775 Massachusetts Avenue, NW Washington, D.C. 20036 <i>For the second phase of its Foreign Policy Program's United Nations at 70 project titled Informing a New Secretary General.</i>	PC	\$0	\$50,000	\$0	\$50,000	\$0
Brooklyn Institute of Arts and Sciences 200 Eastern Parkway Brooklyn, NY 11238 <i>For the expansion of its arts and social justice programming.</i>	PC	\$0	\$100,000	\$0	\$100,000	\$0
The Campaign Finance Institute Suite 1150 Washington, D.C. 20006 <i>For general support.</i>	PC	\$100,000	\$0	\$0	\$100,000	\$0
Campaign Legal Center, Inc. Suite 1400 Washington, D.C. 20005 <i>For general support.</i>	PC	\$100,000	\$0	\$0	\$100,000	\$0
Campaign Legal Center, Inc. Suite 1400 Washington, D.C. 20005 <i>For general support.</i>	PC	\$0	\$50,000	\$0	\$50,000	\$0
Carnegie Endowment for International Peace 1779 Massachusetts Avenue, NW Washington, D.C. 20036 <i>For its Comparative Defense Atlas for the Arab States.</i>	PC	\$0	\$150,000	\$0	\$75,000	\$75,000
Carnegie Endowment for International Peace 1779 Massachusetts Avenue, NW Washington, D.C. 20036 <i>For its Geoeconomics and Strategy Program.</i>	PC	\$0	\$50,000	\$0	\$50,000	\$0
Carnegie Endowment for International Peace 1779 Massachusetts Avenue, NW Washington, D.C. 20036 <i>For exploratory work into the cultural ramifications of hostilities in the Middle East.</i>	PC	\$0	\$25,000	\$0	\$25,000	\$0
Carnegie Hall Corporation 881 Seventh Avenue New York, NY 10019 <i>For a residency for its Ensemble Connect fellows at The Pocantico Center.</i>	PC	\$0	\$45,000	\$0	\$45,000	\$0

Casita Maria, Inc. 928 Simpson Street Bronx, NY 10459 <i>For the development and integration of its arts and educational programs.</i>	PC	\$0	\$100,000	\$0	\$50,000	\$50,000
Center for a New American Security 1152 15th Street, NW, Suite 950 Washington, D.C. 20005 <i>For its project, Preserving the Iran Nuclear Agreement.</i>	PC	\$0	\$50,000	\$0	\$50,000	\$0
Center for American Progress 1333 H Street, NW, 10th Floor Washington, D.C. 20005 <i>To further U.S.-China energy and climate cooperation.</i>	PC	\$53,998	\$0	\$0	\$53,998	\$0
Center for Civilians in Conflict Suite 350 Washington, D.C. 20036 <i>For general support.</i>	PC	\$0	\$100,000	\$0	\$100,000	\$0
Center for Cultural Decontamination Bircaninova 21 Belgrade, 11000 Serbia <i>For general support.</i>	PC	\$0	\$40,000	\$0	\$40,000	\$0
Center for Democratic Transition Vladike Danila 28 Podgorica Montenegro <i>For its Elections Monitoring program.</i>	PC	\$0	\$50,000	\$0	\$50,000	\$0
Center for Economic and Policy Research Suite 400 Washington, D.C. 20009 <i>For general support.</i>	PC	\$0	\$75,000	\$0	\$75,000	\$0
Center for Effective Philanthropy, Inc. 675 Massachusetts Avenue, 7th Floor Cambridge, MA 02139 <i>For general support.</i>	PC	\$0	\$100,000	\$0	\$100,000	\$0
Center for Euro-Atlantic Studies Dr. Dragoslava Popovica 15 Belgrade, 11000 Serbia <i>For general support.</i>	PC	\$0	\$90,000	\$0	\$45,000	\$45,000
Center for International Policy, Inc. 2000 M Street, NW, Suite 720 Washington, D.C. 20036 <i>For its Arms and Security project.</i>	PC	\$0	\$40,000	\$0	\$40,000	\$0
Center for Investigative Journalism of Serbia Resavska 28/II Belgrade Serbia <i>For general support.</i>	PC	\$0	\$90,000	\$0	\$45,000	\$45,000
Center for Political Accountability 1233 20th Street, NW, Suite 205 Washington, D.C. 20036 <i>For general support.</i>	PC	\$0	\$180,000	\$0	\$90,000	\$90,000
Center for Strategic and International Studies, Inc. 1616 Rhode Island Avenue, NW Washington, D.C. 20006 <i>For its Congressional Briefing Series on the Western Balkans.</i>	PC	\$0	\$100,000	\$0	\$50,000	\$50,000

Center for Technology and Civic Life 220 N. Green Street Chicago, IL 60607 <i>For general support.</i>	PC	\$115,000	\$0	\$0	\$115,000	\$0
Ceres, Inc. 99 Chauncey Street, 6th Floor Boston, MA 02111-1703 <i>For general support.</i>	PC	\$0	\$100,000	\$0	\$100,000	\$0
chashama, Inc. 32nd Floor New York, NY 10017 <i>For studio space for visual artists.</i>	PC	\$0	\$25,000	\$0	\$25,000	\$0
Chesapeake Climate Action Network P.O. Box 11138 Takoma Park, MD 20912 <i>For general support.</i>	PC	\$0	\$50,000	\$0	\$50,000	\$0
China Council for International Cooperation on Environment and Development Beijing China <i>For Collaborative Research and Capacity Building on Environmental Governance, Low-carbon Urban Development, Green Finance, and Sustainable Consumption.</i>	GOV	\$0	\$750,000	\$0	\$250,000	\$500,000
China Dialogue Trust 28 Charles Square London, N1 6HT United Kingdom <i>For general support.</i>	PC	\$95,000	\$0	\$0	\$95,000	\$0
Chinese Academy of Sciences 52 Sanlihe Road Beijing, 100864 China <i>For its Forum on Health, Environment, and Development.</i>	PC	\$200,000	\$0	\$0	\$0	\$200,000
Chinese Academy of Sciences 52 Sanlihe Road Beijing, 100864 China <i>For the Renewable Energy Planning Tools: Development and Pilot Application project of the Guangzhou Institute of Energy Conversion.</i>	PC	\$0	\$100,000	\$0	\$100,000	\$0
Christian Coalition 1775 Eye Street, NW, Suite 1150 Washington, D.C. 20006 <i>For the Conservative Clean Energy Summit of its Young Conservatives for Energy Reform project.</i>	PC	\$0	\$25,000	\$0	\$25,000	\$0
Church World Service 475 Riverside Drive, Suite 700 New York, NY 10115 <i>For its Immigration and Refugee program.</i>	PC	\$0	\$75,000	\$0	\$75,000	\$0
Civic Alliance Lamela 9, br. 5 Podgorica, 8100 Montenegro <i>For general support.</i>	PC	\$60,000	\$0	\$0	\$60,000	\$0
Civic Association CRTA-The Center for Research, Transparency and Accountability Topolska 22 Belgrade, 11000 Serbia <i>For general support.</i>	PC	\$95,000	\$0	\$0	\$95,000	\$0

Civic Association CRTA-The Center for Research, Transparency and Accountability Topolska 22 Belgrade, 11000 Serbia <i>For its project, Citizens on Watch.</i>	PC	\$0	\$93,000	\$0	\$93,000	\$0
Civic Exchange 23/F, Chun Wo Commercial Centre, 23-29 Wing Wo Street, Central Hong Kong China <i>For general support.</i>	PC	\$200,000	\$0	\$0	\$200,000	\$0
Clean Air Initiative for Asian Cities Center, Inc. Unit 3504-3505, Robinsons-Equitable Tower, ADB Avenue Pasig City, NCR 1605 Philippines <i>For promoting air quality management in China.</i>	PC	\$100,000	\$0	\$0	\$100,000	\$0
ClientEarth 274 Richmond Road Martello Strett Entrance London, E8 3QW United Kingdom <i>For its Friend to Court Revolving Fund.</i>	PC	\$0	\$100,000	\$0	\$100,000	\$0
Climate Accountability Institute 1626 Gateway Road Snowmass, CO 81654	PC	\$0	\$60,000	\$0	\$30,000	\$30,000
The Climate Group, Inc. 145 West 58th Street, Suite 2A New York, NY 10019 <i>For its Under2 Coalition and its New York City Climate . Week work</i>	PC	\$0	\$800,000	\$0	\$400,000	\$400,000
Climate Policy Initiative, Inc. 235 Montgomery Street, 13th Floor San Francisco, CA 94104 <i>For its Advisory Finance Group.</i>	POF	\$0	\$400,000	\$0	\$200,000	\$200,000
Colonial Williamsburg Foundation P.O. Box 1776 Williamsburg, VA 23187-1776 <i>For general support.</i>	PC	\$0	\$25,000	\$0	\$25,000	\$0
Colorado State University Foundation 410 University Services Center Fort Collins, CO 80523-9100 <i>For Colorado State University's Center for the New Energy Economy.</i>	PC	\$0	\$100,000	\$0	\$100,000	\$0
ColorOfChange.org Education Fund, Inc. 1714 Franklin Street, Suite 100-136 Oakland, CA 94612 <i>For general support.</i>	PC	\$125,000	\$0	\$0	\$125,000	\$0
Trustees of Columbia University in the City of New York 1210 Amsterdam Avenue Room 254 Engineering Terrace, Mail Code: 2205 New York, NY 10027 <i>For its Columbia Center for Children's Environmental Health's air pollution and environmental health study in China.</i>	PC	\$0	\$200,000	\$0	\$100,000	\$100,000
COMET-ME Tel Hai 3 Jerusalem, 9210703 Israel <i>For general support.</i>	PC	\$50,000	\$0	\$0	\$50,000	\$0

Common Cause Education Fund 805 15th Street, NW, Suite 800 Washington, D.C. 20005 <i>For its work on the Easy Elections NY Campaign.</i>	PC	\$0	\$20,000	\$0	\$20,000	\$0
Common Cause Education Fund 805 15th Street, NW, Suite 800 Washington, D.C. 20005 <i>For general support.</i>	PC	\$0	\$100,000	\$0	\$100,000	\$0
The Community Foundation of Oyster Bay, Inc. P.O. Box 329 Oyster Bay, NY 11771-0329 <i>For general support.</i>	PC	\$0	\$25,000	\$0	\$25,000	\$0
Community Initiatives 354 Pine Street, Suite 700 San Francisco, CA 94104 <i>For its project, The SPIN Academy.</i>	PC	\$0	\$25,000	\$0	\$25,000	\$0
The Conference Board, Inc. 845 Third Avenue New York, NY 10022-6679 <i>For its Committee for Economic Development project.</i>	PC	\$70,000	\$0	\$0	\$70,000	\$0
Confluence Philanthropy, Inc. 475 Riverside Drive, Suite 900 New York, NY 10115 <i>For general support.</i>	PC	\$0	\$10,000	\$0	\$10,000	\$0
Conservative Energy Network 106 W. Allegan Street, Suite 200 Lansing, MI 48933 <i>For general support.</i>	PC	\$0	\$89,000	\$0	\$89,000	\$0
Conservatives for Clean Energy 514 Daniels Street, Suite 197 Raleigh, NC 27605 <i>For general support.</i>	PC	\$0	\$75,000	\$0	\$75,000	\$0
Consultative Group on Biological Diversity P.O. Box 29361 San Francisco, CA 94129-0361 <i>For 2017 membership and general support.</i>	PC	\$0	\$32,550	\$0	\$32,550	\$0
Cool Culture, Inc. 80 Hanson Place, Suite 604 Brooklyn, NY 11217 <i>To support the first year of a new multi-year initiative, We Are All Curators.</i>	PC	\$0	\$15,000	\$0	\$15,000	\$0
Council on Foreign Relations, Inc. 58 East 68th Street New York, NY 10065 <i>For its Contingency Planning Roundtable Series.</i>	PC	\$0	\$200,000	\$0	\$100,000	\$100,000
Council on Foundations, Inc. Suite 700 Arlington, VA 22202 <i>For general support for 2016 and 2017.</i>	PC	\$23,500	\$0	\$0	\$23,500	\$0
Council on Foundations, Inc. Suite 700 Arlington, VA 22202 <i>For general support and membership for 2018 and 2019.</i>	PC	\$0	\$47,000	\$0	\$0	\$47,000

Coventry University	PC	\$0	\$126,000	\$0	\$126,000	\$0
IV5 Innovation Village Cheetah Road Coventry, CV1 2TL United Kingdom <i>For research from its Centre for Trust, Peace & Social Relations on Building Grassroots Cross-Racial Coalitions of Interest in a Hostile Political Context.</i>						
Crime and Corruption Reporting Network	PC	\$35,000	\$0	\$0	\$35,000	\$0
Kralja Milana 20/22 Belgrade, 11000 Serbia <i>For general support.</i>						
Crisis Action, Inc.	PC	\$0	\$100,000	\$0	\$100,000	\$0
708 Third Avenue, Suite 1820 New York, NY 10017 <i>For general support.</i>						
Crisis Management Initiative	PC	\$0	\$100,000	\$0	\$100,000	\$0
Etelaranta 12, 2nd Floor Helsinki, 00130 Finland <i>For its Middle East program.</i>						
Slavko Curuvija Foundation	PC	\$0	\$120,000	\$0	\$60,000	\$60,000
Kursulina 7/5 Belgrade, 11000 Serbia <i>For general support.</i>						
Dalia Association	PC	\$0	\$30,000	\$0	\$30,000	\$0
Rue de la Rasière, 22 Brussels Belgium <i>For its Aid Watch project.</i>						
Defence for Children International - Palestine	PC	\$0	\$25,000	\$0	\$25,000	\$0
Al-Sartawi Building, 3rd Floor Ramallah Palestine <i>For its U.S. program.</i>						
University of Delaware	PC	\$500,000	\$0	\$0	\$250,000	\$250,000
162 The Green Newark, DE 19716 <i>For its Special Initiative on Offshore Wind project.</i>						
Democracy 21 Education Fund	PC	\$60,000	\$0	\$0	\$60,000	\$0
2000 Massachusetts Avenue, NW Washington, D.C. 20036 <i>For general support.</i>						
Democracy Works, Inc.	PC	\$0	\$400,000	\$0	\$200,000	\$200,000
20 Jay Street, Suite 824 Brooklyn, NY 11201 <i>For general support.</i>						
Democratization Policy Council e.V.	PF	\$60,000	\$0	\$0	\$60,000	\$0
Sybelstr. 10 Berlin, 10629 Germany <i>For general support.</i>						
Demos: A Network for Ideas and Action, Ltd.	PC	\$150,000	\$0	\$0	\$150,000	\$0
80 Broad Street, 4th Floor New York, NY 10004 <i>For general support and its Inclusive Democracy Partnership.</i>						
Disability Rights Advocates	PC	\$0	\$15,000	\$0	\$15,000	\$0
Fourth Floor Berkeley, CA 94704-1204 <i>For its Voting Rights Initiative in New York City.</i>						

Doane University 1014 Boswell Avenue Crete, NE 68333 <i>For the endowment fund of its Robert L. Polk Lecture on Race and Social Justice.</i>	PC	\$0	\$25,000	\$0	\$25,000	\$0
Earth Day Network, Inc. 1616 P Street, NW, Suite 340 Washington, D.C. 20036 <i>For its March for Science on the National Mall.</i>	PC	\$0	\$75,000	\$0	\$75,000	\$0
Earth Island Institute, Inc. 2150 Allston Way, Suite 460 Berkeley, CA 94706-1375 <i>For its project, CoalSwarm.</i>	PC	\$0	\$75,000	\$0	\$75,000	\$0
Earth Island Institute, Inc. 2150 Allston Way, Suite 460 Berkeley, CA 94706-1375 <i>For its project, EcoEquity.</i>	PC	\$0	\$75,000	\$0	\$75,000	\$0
Earthjustice 50 California Street, Suite 500 San Francisco, CA 94111 <i>For its chlorpyrifos campaign.</i>	PC	\$0	\$100,000	\$0	\$100,000	\$0
Earthworks 1612 K Street, NW, Suite 808 Washington, D.C. 20006 <i>For its Oil & Gas Accountability project.</i>	PC	\$0	\$200,000	\$0	\$200,000	\$0
East West Center Hamdije Kresevljakovica, No. 27 Sarajevo, 71000 Bosnia and Herzegovina <i>For general support.</i>	PC	\$50,000	\$0	\$0	\$50,000	\$0
Eco Art Project, Inc. 325 West 45th Street, Suite 1020 New York, NY 10036 <i>For general support.</i>	PC	\$0	\$100,000	\$0	\$100,000	\$0
Education for Just Peace in the Middle East 1011 Arlington Boulevard, Suite 230 Arlington, VA 22209 <i>For general support.</i>	PC	\$0	\$60,000	\$0	\$30,000	\$30,000
El Barrio's Operation Fight Back, Inc. 413 East 120th Street New York, NY 10035 <i>For its Visiting Artist Residency program.</i>	PC	\$0	\$45,000	\$0	\$45,000	\$0
Electrification Coalition Foundation 1111 19th Street, NW, Suite 406 Washington, D.C. 20036 <i>For its Energy Secure Cities Coalition program.</i>	PC	\$50,000	\$0	\$0	\$50,000	\$0
Energy Transition Institute, Inc. 811 Robin Hood Road Annapolis, MD 21405 <i>For support of U.S. subnational participation in international climate cooperation and sustaining Chinese global climate leadership</i>	PC	\$0	\$200,000	\$0	\$200,000	\$0
Environmental Defense Fund, Incorporated 257 Park Avenue South New York, NY 10010 <i>For its EDF China Program: Supporting Leadership on Climate.</i>	PC	\$0	\$400,000	\$0	\$200,000	\$200,000

Environmental Grantmakers Association 475 Riverside Drive New York, NY 10115 <i>For general support.</i>	PC	\$0	\$24,800	\$0	\$24,800	\$0
Eotvos Karoly Policy Institute Szentkirályi utca 11 Budapest, 1088 Hungary <i>For its project, EKINT Academy.</i>	PC	\$0	\$30,000	\$0	\$30,000	\$0
Euro-Mediterranean Foundation of Support to Human Rights Defenders Vestergade 16, 2nd Floor Copenhagen, DK-1456 Denmark <i>For its project to scale up the provision of pro-bono legal aid and representation services for Egyptian activists and nongovernmental organizations.</i>	PC	\$0	\$50,000	\$0	\$0	\$50,000
European Council on Foreign Relations 16 Smith Square, Kings Building London, SW1P 3HQ United Kingdom <i>For its Transatlantic Taskforce on Iran.</i>	PC	\$0	\$50,000	\$0	\$50,000	\$0
European Foundation Centre 94, rue Royale Brussels, 1000 Belgium <i>For its project to strengthen the enabling environment for the philanthropic sector.</i>	PC	\$0	\$40,000	\$0	\$40,000	\$0
Every Voice Center Suite 600 Washington, D.C. 20036 <i>For general support.</i>	PC	\$0	\$50,000	\$0	\$50,000	\$0
FairVote 6930 Carroll Avenue, Suite 240 Takoma Park, MD 20912 <i>For general support.</i>	PC	\$40,000	\$0	\$0	\$40,000	\$0
Federazione Organismi Cristiani Servizio Internazionale Volontario Via S. Francesco de Sales, 18 Rome, 00165 Italy <i>For its Catholic Church Climate project.</i>	PC	\$0	\$60,000	\$0	\$30,000	\$30,000
Forum for Civic Initiatives Sejdi Kryeziu blloku 20, Nr. 3 Pristina, 10000 Kosovo <i>For general support.</i>	PC	\$0	\$200,000	\$0	\$100,000	\$100,000
Forum for Civic Initiatives Sejdi Kryeziu blloku 20, Nr. 3 Pristina, 10000 Kosovo <i>For its Civil Society House project in Kosovo.</i>	PC	\$0	\$300,000	\$0	\$150,000	\$150,000
Forum Mladi I Neformalna Edukacija ul. Bratstva i jedinstva br. 4 Podgorica, 81000 Montenegro <i>For its project, International Youth Village.</i>	PC	\$0	\$120,000	\$0	\$60,000	\$60,000
Forward Thinking 83 Clerkenwell Road London, EC1R 5AR United Kingdom <i>For its project, Developing Peacebuilding Capacity in Significant Israeli Constituencies.</i>	PC	\$50,000	\$0	\$0	\$50,000	\$0

The Foundation for a Civil Society, Ltd. Apt. 1B New York, NY 10028 <i>For its Iran Project.</i>	PC	\$0	\$135,000	\$0	\$135,000	\$0
Fractured Atlas, Inc. 248 West 35th Street, 10th Floor New York, NY 10001 <i>For its project, Abdul Latif D2D/T.</i>	PC	\$0	\$100,000	\$0	\$70,070	\$29,930
Fractured Atlas, Inc. 248 West 35th Street, 10th Floor New York, NY 10001 <i>For its Donkeysaddle projects.</i>	PC	\$0	\$30,000	\$0	\$30,000	\$0
Free Press 40 Main Street, Suite 301 Florence, MA 01062 <i>For general support.</i>	PC	\$0	\$250,000	\$0	\$159,990	\$90,010
Free Speech for People, Inc. 48 N. Pleasant Street, Suite 304 Amherst, MA 01002 <i>For its Legal Advocacy program.</i>	PC	\$0	\$70,000	\$0	\$70,000	\$0
Friends of Europe Rue de la Science 4 Brussels, 1000 Belgium <i>For general support.</i>	PC	\$0	\$20,000	\$0	\$20,000	\$0
Fund for Active Citizenship Vaka Djurovica - Nova Varos II Podgorica, 81000 Montenegro <i>For general support.</i>	PC	\$0	\$120,000	\$0	\$60,000	\$60,000
Fund for Active Citizenship Vaka Djurovica - Nova Varos II Podgorica, 81000 Montenegro <i>For its Civil Society House project in Montenegro.</i>	PC	\$0	\$300,000	\$0	\$150,000	\$150,000
Fund for Constitutional Government 122 Maryland Avenue, NE Washington, D.C. 20002 <i>For its project, the Peace and Security Funders Group.</i>	PC	\$0	\$35,000	\$0	\$35,000	\$0
Fund for Global Human Rights Suite 400 Washington, D.C. 20036 <i>For its work in Egypt.</i>	PC	\$0	\$150,000	\$0	\$150,000	\$0
Fund for the City of New York, Inc. 121 Avenue of the Americas New York, NY 10013 <i>For its project, Composers Now.</i>	PC	\$0	\$100,000	\$0	\$50,000	\$50,000
Fund for the City of New York, Inc. 121 Avenue of the Americas New York, NY 10013 <i>For its project, the New American Leaders Project.</i>	PC	\$0	\$100,000	\$0	\$100,000	\$0
Futuro Media Group 361 West 125th Street, 6th Floor New York, NY 10027 <i>For general support.</i>	PC	\$0	\$50,000	\$0	\$50,000	\$0

The Gathering for Justice, Inc. 310 West 43rd Street, 14th Floor New York, NY 10036 <i>For its Women's March project.</i>	PC	\$0	\$75,000	\$0	\$75,000	\$0
GenderAvenger P.O. Box 21044 New York, NY 10025 <i>For general support.</i>	PC	\$0	\$25,000	\$0	\$25,000	\$0
Genetic Resources Action International Girona 25, principal Barcelona, 08010 Spain <i>For its project, bilaterals.org.</i>	PC	\$0	\$30,000	\$0	\$15,000	\$15,000
The President and Directors Georgetown College (Georgetown University) 37th and O Streets, NW Washington, D.C. 20057 <i>For the Georgetown Climate Center.</i>	PC	\$100,000	\$0	\$0	\$100,000	\$0
The German Marshall Fund of the United States 1744 R Street, NW Washington, D.C. 20009 <i>For its Transatlantic Inclusion Leaders Network.</i>	PC	\$0	\$25,000	\$0	\$25,000	\$0
Gina Gibney Dance, Inc. 890 Broadway, 5th Floor New York, NY 10003 <i>For expansion of its Community Action initiatives.</i>	PC	\$0	\$50,000	\$0	\$50,000	\$0
Gisha - Legal Center for Freedom of Movement 42 Harakevet Street Tel Aviv-Jaffa, 6777008 Israel <i>For general support.</i>	PC	\$0	\$100,000	\$0	\$50,000	\$50,000
Global Exchange 901 Mission Street, Suite 306 San Francisco, CA 94103 <i>For its project, the Our World Is Not For Sale network, for its work related to the World Trade Organization.</i>	PC	\$0	\$75,000	\$0	\$75,000	\$0
Global Fund for Community Foundations Citilink Business Park Albert Street Belfast, BT12 4HQ Northern Ireland <i>For general support.</i>	PF	\$50,000	\$0	\$0	\$50,000	\$0
Global Fund for Community Foundations Citilink Business Park Albert Street Belfast, BT12 4HQ Northern Ireland <i>For its project, the Global Alliance for Community Philanthropy, as well as for its work on community philanthropy in China.</i>	PF	\$0	\$120,000	\$0	\$95,000	\$25,000
Global Philanthropy Partnership 1916 N. Mohawk Street, Suite 7 Chicago, IL 60614 <i>For its Urban Sustainability Directors Network.</i>	PC	\$160,000	\$0	\$0	\$160,000	\$0
Global Philanthropy Partnership 1916 N. Mohawk Street, Suite 7 Chicago, IL 60614 <i>For the Carbon Neutral Cities Alliance annual meeting.</i>	PC	\$0	\$25,000	\$0	\$25,000	\$0

Global Philanthropy Partnership 1916 N. Mohawk Street, Suite 7 Chicago, IL 60614 <i>For the Urban Sustainability Directors Network, and its initiative, the Carbon Neutral Cities Alliance.</i>	PC	\$0	\$90,000	\$0	\$55,000	\$35,000
Grantmakers for Effective Organizations Suite 404 Washington, D.C. 20036 <i>For general support and membership for 2018 and 2019.</i>	PC	\$0	\$22,920	\$0	\$7,640	\$15,280
Grassroots Jerusalem Harun al Rasheed 9 Jerusalem, 9149302 Israel <i>For general support.</i>	PC	\$0	\$100,000	\$0	\$50,000	\$50,000
Great Plains Institute for Sustainable Development, Inc. Suite 220 Minneapolis, MN 55407 <i>For its Midwestern Power Sector Collaborative project.</i>	PC	\$0	\$50,000	\$0	\$50,000	\$0
GreenFaith 101 South 3rd Avenue, Suite 12 Highland Park, NJ 08904 <i>For the People's Climate March.</i>	PC	\$0	\$50,000	\$0	\$50,000	\$0
GreenFaith 101 South 3rd Avenue, Suite 12 Highland Park, NJ 08904 <i>For general support.</i>	PC	\$0	\$65,000	\$0	\$65,000	\$0
GreenLatinos Suite 1010 Washington, D.C. 20004 <i>For its National GreenLatinos Summit.</i>	PC	\$0	\$25,000	\$0	\$25,000	\$0
Growald Family Fund, Inc. 55 Pond Avenue, Suite 202E Brookline, MA 02445 <i>To co-fund its staff position of Asia Climate Finance Director.</i>	POF	\$0	\$150,000	\$0	\$75,000	\$75,000
President and Fellows of Harvard College 124 Mount Auburn Street Cambridge, MA 02138 <i>For the Senior Practice Fellowship in American Democracy of its Kennedy School of Government.</i>	PC	\$0	\$75,000	\$0	\$25,000	\$50,000
The Vaclav Havel Library Foundation 321 East 73rd Street New York, NY 10021 <i>For its theater festival, Rehearsal for Truth.</i>	PC	\$0	\$15,000	\$0	\$15,000	\$0
Healthy Environment Alliance of Utah 824 South 400 West, Suite B111 Salt Lake City, UT 84101 <i>For its carbon reduction campaign.</i>	PC	\$0	\$40,000	\$0	\$20,000	\$20,000
Hebrew University of Jerusalem Mount Scopus Jerusalem, 91905 Israel <i>For its Community-Campus Partnership.</i>	PC	\$0	\$100,000	\$0	\$50,000	\$50,000

Hester Street Collaborative, Inc. 113 Hester Street New York, NY 10001 <i>For public engagement activities around the CreateNYC Cultural Plan.</i>	PC	\$0	\$125,000	\$0	\$125,000	\$0
Higher Heights Leadership Fund 147 Prince Street Brooklyn, NY 11201 <i>For general support.</i>	PC	\$0	\$12,000	\$0	\$12,000	\$0
Hispanic Federation, Inc. 55 Exchange Place, 5th Floor New York, NY 10005 <i>For its work on immigration and asylum advocacy.</i>	PC	\$0	\$50,000	\$0	\$50,000	\$0
Hispanics in Philanthropy 414 13th Street, Suite 200 Oakland, CA 94612 <i>For general support and membership.</i>	PC	\$10,000	\$0	\$0	\$10,000	\$0
Hispanics in Philanthropy 414 13th Street, Suite 200 Oakland, CA 94612 <i>For general support and membership for 2018 and 2019.</i>	PC	\$0	\$20,000	\$0	\$0	\$20,000
Historic Hudson River Towns 180 Route 100 Katonah, NY 10536 <i>For its project, the Sing Sing Prison Museum.</i>	PC	\$0	\$15,000	\$0	\$15,000	\$0
Hopewell Fund Suite 300 Washington, D.C. 20036 <i>For its project, Localized.</i>	PC	\$0	\$50,000	\$0	\$50,000	\$0
Hudson River Sloop Clearwater, Inc. 724 Wolcott Avenue Beacon, NY 12508 <i>For its Estuary Education program.</i>	PC	\$0	\$15,000	\$0	\$15,000	\$0
Human Rights Watch, Inc. 350 Fifth Avenue, 34th Floor New York, NY 10118 <i>For its Israel/Palestine program.</i>	PC	\$0	\$10,000	\$0	\$10,000	\$0
Humanitarian Law Center - Documentation and Memory Decanska 12 III/IV Belgrade, 11110 Serbia <i>For its project, Strengthening Support for the RECOM Process.</i>	PC	\$69,714	\$0	\$0	\$69,714	\$0
If Not Now P.O. Box 26425 Washington, D.C. 20001 <i>For general support.</i>	PC	\$0	\$20,000	\$0	\$20,000	\$0
ImpactAssets, Inc. 7315 Wisconsin Avenue, Suite 1000W Bethesda, MD 201814 <i>For its New Media Ventures Innovation Fund.</i>	PC	\$0	\$100,000	\$0	\$100,000	\$0
Independent Diplomat 45 East 20th Street, 6th Floor New York, NY 10003 <i>For its High Ambition Coalition.</i>	PC	\$0	\$300,000	\$0	\$150,000	\$150,000

Independent Journalists' Association of Vojvodina Zmaj Jovina 3/1 Novi Sad, 21000 Serbia <i>For general support.</i>	PC	\$0	\$180,000	\$0	\$90,000	\$90,000
Independent Sector Suite 900 Washington, D.C. 20036 <i>For general support for 2016 and 2017.</i>	PC	\$12,150	\$0	\$0	\$12,150	\$0
Independent Sector Suite 900 Washington, D.C. 20036 <i>For its policy work in defense of the nonprofit sector.</i>	PC	\$0	\$60,000	\$0	\$60,000	\$0
Independent Sector Suite 900 Washington, D.C. 20036 <i>For general support and membership for 2018 and 2019.</i>	PC	\$0	\$24,300	\$0	\$0	\$24,300
Initiative for Progress Rr/Str Ahmet Kaciku - No. 35, Fifth Floor Ferizaj, 70000 Kosovo <i>For general support.</i>	PF	\$0	\$20,000	\$0	\$20,000	\$0
Innovation Network for Communities 156 Grover Lane Tamworth, NH 03886 <i>For its work on 2050 deep decarbonization pathways.</i>	PC	\$0	\$64,000	\$0	\$64,000	\$0
Institute for Advanced Studies GAP Sejldi Kryeziu, 4/4 Pristina, 10000 Kosovo <i>For general support.</i>	PC	\$40,000	\$0	\$0	\$40,000	\$0
Institute for Development Policy Fehmi Agani 50 A/4 Pristina, 10000 Kosovo <i>For general support.</i>	PC	\$0	\$107,286	\$0	\$47,286	\$60,000
Institute for Energy Economics and Financial Analysis, Inc. 3430 Rocky River Drive Cleveland, OH 44111 <i>For its project, Building Capacity for the Energy Transition.</i>	PC	\$0	\$50,000	\$0	\$50,000	\$0
Institute for Energy Economics and Financial Analysis, Inc. 3430 Rocky River Drive Cleveland, OH 44111 <i>For general support.</i>	PC	\$0	\$200,000	\$0	\$100,000	\$100,000
Institute for Energy Economics and Financial Analysis, Inc. 3430 Rocky River Drive Cleveland, OH 44111 <i>For its Puerto Rico Electric System Reform project.</i>	PC	\$0	\$25,000	\$0	\$25,000	\$0

Institute for Energy Economics and Financial Analysis, Inc.	PC	\$0	\$125,000	\$0	\$125,000	\$0
3430 Rocky River Drive Cleveland, OH 44111 <i>For its work on energy and finance systems reform in Puerto Rico.</i>						
Institute for Governance and Sustainable Development, Inc.	PC	\$0	\$150,000	\$0	\$150,000	\$0
Suite 300B Washington, D.C. 20007 <i>For its work on climate and global governance.</i>						
Institute for Governance and Sustainable Development, Inc.	PC	\$0	\$150,000	\$0	\$0	\$150,000
Suite 300B Washington, D.C. 20007 <i>For its Greenhouse Gas Mitigation campaign.</i>						
Institute for Middle East Understanding	PC	\$0	\$160,000	\$0	\$80,000	\$80,000
174 West 4th Street, Suite 171 New York, NY 10014 <i>For general support.</i>						
Institute for Policy Studies	PC	\$0	\$50,000	\$0	\$50,000	\$0
Suite 600 Washington, D.C. 20036 <i>For its LobeLog project.</i>						
Institute for State Effectiveness	PC	\$150,000	\$0	\$0	\$150,000	\$0
1050 30th Street, NW Washington, D.C. 20007 <i>For general support.</i>						
Institute for War & Peace Reporting	PC	\$0	\$30,000	\$0	\$30,000	\$0
Suite 500 Washington, D.C. 20005 <i>For its project, Reporting for Peace.</i>						
Institute of International Education, Inc.	PC	\$50,000	\$0	\$0	\$25,000	\$25,000
809 United Nations Plaza New York, NY 10017 <i>For the Richard Rockefeller Fellowship.</i>						
Institute of International Education, Inc.	PC	\$67,291	\$0	\$0	\$67,291	\$0
809 United Nations Plaza New York, NY 10017 <i>For the Rockefeller Brothers Fund's Global Travel and Learning Fund.</i>						
Institute of International Education, Inc.	PC	\$0	\$200,000	\$0	\$180,000	\$20,000
809 United Nations Plaza New York, NY 10017 <i>For the Rockefeller Brothers Fund's Global Travel and Learning Fund.</i>						
Institute of International Education, Inc.	PC	\$0	\$153,000	\$0	\$25,000	\$128,000
809 United Nations Plaza New York, NY 10017 <i>To manage the Richard Rockefeller Fellowship.</i>						

InterAction: American Council for Voluntary International Action, Inc. 1400 16th Street, NW, Suite 210 Washington, D.C. 20036 <i>For its Empowering Civil Society to Combat Disinformation project.</i>	PC	\$0	\$50,000	\$0	\$50,000	\$0
International Center for Not-for-Profit Law Suite 400 Washington, D.C. 20036 <i>For its project on safeguarding civil society in the United States.</i>	PC	\$0	\$50,000	\$0	\$30,000	\$20,000
International Center for Not-for-Profit Law Suite 400 Washington, D.C. 20036 <i>For its Civic Freedom Monitor Initiative.</i>	PC	\$0	\$52,000	\$0	\$52,000	\$0
International Council on Clean Transportation 1225 I Street, NW, Suite 900 Washington, D.C. 20005 <i>For its project, Reducing Air Pollution from Transport in Southern China.</i>	PC	\$0	\$400,000	\$0	\$0	\$400,000
International Crisis Group Room 450 Washington, D.C. 20006 <i>For its project, Preventing a U.S.-Iran Confrontation: The Iran Trigger List.</i>	PC	\$0	\$150,000	\$0	\$150,000	\$0
International Institute for Sustainable Development 111 Lombard Avenue, Suite 325 Winnipeg, MB R3B OT4 Canada <i>For its Investment and Sustainable Development Program.</i>	PC	\$0	\$100,000	\$0	\$100,000	\$0
International Rivers Network 2054 University Avenue, Suite 300 Berkeley, CA 94704-2644 <i>For general support.</i>	PC	\$0	\$200,000	\$0	\$100,000	\$100,000
Investor Watch Kew Gardens Surrey, TW9 3NG United Kingdom <i>For its NDC Interconnect project.</i>	PF	\$0	\$50,000	\$0	\$50,000	\$0
J Street Education Fund, Inc. P.O. Box 66073 Washington, D.C. 20035 <i>For general support.</i>	PC	\$0	\$500,000	\$0	\$500,000	\$0
Japan Society, Inc. 333 East 47th Street New York, NY 10017 <i>For programming in support of its 110th anniversary.</i>	PC	\$0	\$10,000	\$0	\$10,000	\$0
The Jazz Gallery Lenox Hill Station New York, NY 10021 <i>For its 2017 residencies at The Pocantico Center.</i>	PC	\$0	\$25,000	\$0	\$25,000	\$0
A Jewish Voice for Peace, Inc. 1611 Telegraph Avenue, Suite 1020 Oakland, CA 94612 <i>For general support.</i>	PC	\$0	\$140,000	\$0	\$70,000	\$70,000

Just Vision 1616 P Street, NW, Suite 340 Washington, D.C. 20036 <i>For general support.</i>	PC	\$0	\$250,000	\$0	\$125,000	\$125,000
Keigwin and Company, Inc. 79 Madison Avenue, 2nd Floor New York, NY 10016 <i>For the creation and New York premieres of two dances set to Leonard Bernstein's early works.</i>	PC	\$0	\$30,000	\$0	\$30,000	\$0
Kings Majestic Corporation 1000 Dean Street, Suite 232 Brooklyn, NY 11238 <i>For general support.</i>	PC	\$0	\$50,000	\$0	\$50,000	\$0
Lambda Legal Defense and Education Fund, Inc. 120 Wall Street, 19th Floor New York, NY 10005 <i>For its Fair Courts Project Fellowship.</i>	PC	\$130,000	\$0	\$0	\$130,000	\$0
Lark Theatre Company, Inc. 311 West 43rd Street, Suite 406 New York, NY 10036 <i>For general support.</i>	PC	\$0	\$50,000	\$0	\$50,000	\$0
Lawyers' Committee for Civil Rights Under Law Suite 400 Washington, D.C. 20005 <i>For its Voting Rights Project.</i>	PC	\$0	\$100,000	\$0	\$100,000	\$0
Leadership and Growth Council Bulevardi i Deshmoreve 47/4 Pristina, 10000 Kosovo <i>For general support.</i>	PC	\$0	\$35,000	\$0	\$35,000	\$0
Lesbian and Gay Community Services Center, Inc. 208 West 13th Street New York, NY 10011 <i>For its LGBTQ Immigrant Opportunity Initiative.</i>	PC	\$0	\$25,000	\$0	\$25,000	\$0
Lost Light Projects, Inc. 16 Court Street, Suite 2307 Brooklyn, NY 11241 <i>For investigative news focused on climate change.</i>	PC	\$34,084	\$0	\$0	\$34,084	\$0
Mayi Filipino Theatre Ensemble, Inc. 520 Eighth Avenue, Suite 309 New York, NY 10018 <i>For its Writers Lab.</i>	PC	\$0	\$50,000	\$0	\$50,000	\$0
Media and Reform Centre Nis Obrenoviceva 38 Nis, 18000 Serbia <i>For general support.</i>	PC	\$0	\$100,000	\$0	\$50,000	\$50,000
Media Matters for America 455 Massachusetts Avenue, NW, Suite 600 Washington, D.C. 20031 <i>For its Climate and Energy initiative.</i>	PC	\$0	\$75,000	\$0	\$75,000	\$0
Mediators Foundation, Inc. Suite E-4 Boulder, CO 80302 <i>For its Philanthropy Bridging Divides project.</i>	PC	\$0	\$29,000	\$0	\$29,000	\$0

Meridian Institute 105 Village Place Dillon, CO 80435 <i>For its project, the Environmental Protection Network.</i>	PC	\$0	\$50,000	\$0	\$50,000	\$0
Michigan Conservative Energy Forum 106 W. Allegan Street, Suite 200A Lansing, MI 48933 <i>For general support.</i>	PC	\$0	\$75,000	\$0	\$75,000	\$0
Middle East Children's Alliance 1101 Eighth Street, Suite 100 Berkeley, CA 94710 <i>For its project, Rawa: Creative Palestinian Communities Fund.</i>	PC	\$65,000	\$0	\$0	\$65,000	\$0
Middle East Institute 1761 N Street, NW Washington, D.C. 20036-2882 <i>For its Middle East Dialogue.</i>	PC	\$75,000	\$0	\$0	\$0	\$75,000
Middle East Policy Network, Inc. P.O. Box 9613 Washington, D.C. 20016-9997 <i>For general support.</i>	PC	\$0	\$100,000	\$0	\$50,000	\$50,000
Mikro Art Dusina 34/6 Belgrade, 11000 Serbia <i>For general support.</i>	PC	\$45,000	\$0	\$0	\$45,000	\$0
Mission Investors Exchange, Inc. 107 Spring Street Seattle, WA 98104 <i>For general support and membership for 2017 and 2018.</i>	PC	\$0	\$25,000	\$0	\$11,000	\$14,000
MOLAD-The Center for the Renewal of Democracy Lloyd George 6 Jerusalem, 9311006 Israel <i>For general support.</i>	PC	\$50,000	\$0	\$0	\$50,000	\$0
NAACP Legal Defense and Educational Fund, Inc. 40 Rector Street, 5th Floor New York, NY 10006 <i>For its voting rights work.</i>	PC	\$0	\$100,000	\$0	\$100,000	\$0
Nation Institute 116 East 16th Street, 8th Floor New York, NY 10003 <i>For its Voting Rights in America project.</i>	PC	\$0	\$20,000	\$0	\$20,000	\$0
National Audubon Society, Inc. 225 Varick Street, 7th Floor New York, NY 10014 <i>For its Arctic National Wildlife Refuge Defense project.</i>	PC	\$0	\$75,000	\$0	\$75,000	\$0
National Committee for Responsive Philanthropy 1900 L Street, NW, Suite 825 Washington, D.C. 20036 <i>For its public policy work.</i>	PC	\$0	\$30,000	\$0	\$30,000	\$0
National Committee on American Foreign Policy, Inc. 320 Park Avenue, 8th Floor New York, NY 10022 <i>For its Balkan Task Force project.</i>	PC	\$0	\$30,000	\$0	\$30,000	\$0

National Council of Nonprofits 1001 G Street, NW, Suite 700 East Washington, D.C. 20001 <i>For general support.</i>	PC	\$0	\$40,000	\$0	\$40,000	\$0
The National Environmental Education and Training Foundation 4301 Connecticut Avenue, NW, Suite 160 Washington, D.C. 20008 <i>For its Energy All-stars campaign.</i>	PC	\$0	\$40,000	\$0	\$40,000	\$0
National Institute on Money in State Politics 2nd Floor Helena, MT 59601 <i>For general support.</i>	PC	\$100,000	\$0	\$0	\$100,000	\$0
National Institute on Money in State Politics 2nd Floor Helena, MT 59601 <i>For its project with Wellstone Action to develop FollowTheMoney tools in support of strengthening issue-based campaigns.</i>	PC	\$0	\$25,000	\$0	\$25,000	\$0
National Iranian American Council 1411 K Street, NW, Suite 250 Washington, D.C. 20005 <i>For its project, Comprehensive Approaches to Support Peace and Nonproliferation with Iran.</i>	PC	\$0	\$40,000	\$0	\$40,000	\$0
National Wildlife Federation 11100 Wildlife Center Drive Reston, VA 20190-5362 <i>For its Northeast Campaign for Atlantic Offshore Wind Power project.</i>	PC	\$0	\$100,000	\$0	\$100,000	\$0
Natural Capitalism Solutions, Inc. 11823 N. 75th Street Longmont, CO 80503 <i>For its Presidential Climate Action Project.</i>	PC	\$0	\$50,000	\$0	\$50,000	\$0
Natural Resources Defense Council, Inc. 40 West 20th Street New York, NY 10011 <i>For its efforts to curb emissions from ports and shipping.</i>	PC	\$0	\$150,000	\$0	\$0	\$150,000
NEO Philanthropy, Inc. 45 West 36th Street, 6th Floor New York, NY 10018 <i>For membership in and general support of its project, the Funders' Committee for Civic Participation.</i>	PC	\$19,500	\$0	\$0	\$19,500	\$0
NEO Philanthropy, Inc. 45 West 36th Street, 6th Floor New York, NY 10018 <i>For its project, Online Progressive Engagement Network.</i>	PC	\$60,000	\$0	\$0	\$60,000	\$0
NEO Philanthropy, Inc. 45 West 36th Street, 6th Floor New York, NY 10018 <i>For its Charity and Security Network's Protective Shield project.</i>	PC	\$0	\$40,000	\$0	\$40,000	\$0
NEO Philanthropy, Inc. 45 West 36th Street, 6th Floor New York, NY 10018 <i>For its project, Blackbird.</i>	PC	\$0	\$75,000	\$0	\$75,000	\$0

New America Foundation 740 15th Street, NW Washington, D.C. 20005 <i>For its U.S.-Iran Initiative.</i>	PC	\$75,000	\$0	\$0	\$75,000	\$0
New Dramatists, Inc. 424 West 44th Street New York, NY 10036 <i>For its artists-in-residence program.</i>	PC	\$0	\$15,000	\$0	\$15,000	\$0
New Florida Majority Education Fund, Inc. 10800 Biscayne Boulevard, Suite 1050 Miami, FL 33161	PC	\$0	\$50,000	\$0	\$50,000	\$0
The New Press, Inc. 120 Wall Street, 31st Floor New York, NY 10005 <i>For the marketing, publicity, and outreach activities of three or more New Press titles on issues of democracy, including money in politics.</i>	PC	\$45,000	\$0	\$0	\$45,000	\$0
The New School New York, NY <i>For its project, The Future of U.S. Trade Policy: Imagining an Internationalist, Progressive Vision.</i>	PC	\$0	\$36,000	\$0	\$36,000	\$0
New Venture Fund 1201 Connecticut Avenue, NW, Suite 300 Washington, D.C. 20036 <i>For its PACENation project.</i>	PC	\$0	\$250,000	\$0	\$125,000	\$125,000
New Venture Fund 1201 Connecticut Avenue, NW, Suite 300 Washington, D.C. 20036 <i>For its Fossil Fuel Reduction campaign.</i>	PC	\$0	\$300,000	\$0	\$300,000	\$0
New Venture Fund 1201 Connecticut Avenue, NW, Suite 300 Washington, D.C. 20036 <i>For its project, The Pluribus Project.</i>	PC	\$0	\$200,000	\$0	\$150,000	\$50,000
New Venture Fund 1201 Connecticut Avenue, NW, Suite 300 Washington, D.C. 20036 <i>For its Afghanistan Engagement Project.</i>	PC	\$0	\$200,000	\$0	\$200,000	\$0
New Venture Fund 1201 Connecticut Avenue, NW, Suite 300 Washington, D.C. 20036 <i>For its project, The Partnership Fund.</i>	PC	\$0	\$250,000	\$0	\$250,000	\$0
New Venture Fund 1201 Connecticut Avenue, NW, Suite 300 Washington, D.C. 20036 <i>For its project, Skillful.</i>	PC	\$0	\$50,000	\$0	\$50,000	\$0
New Venture Fund 1201 Connecticut Avenue, NW, Suite 300 Washington, D.C. 20036 <i>For its project, Climate Interactive.</i>	PC	\$0	\$50,000	\$0	\$50,000	\$0
New Venture Fund 1201 Connecticut Avenue, NW, Suite 300 Washington, D.C. 20036 <i>For its project, the Media Democracy Fund.</i>	PC	\$0	\$50,000	\$0	\$50,000	\$0

New Venture Fund 1201 Connecticut Avenue, NW, Suite 300 Washington, D.C. 20036 <i>For its Fossil Fuel Reduction Project's International Energy Initiative.</i>	PC	\$0	\$200,000	\$0	\$200,000	\$0
New Venture Fund 1201 Connecticut Avenue, NW, Suite 300 Washington, D.C. 20036 <i>For its Afghanistan Engagement Project.</i>	PC	\$0	\$75,000	\$0	\$75,000	\$0
New Venture Fund 1201 Connecticut Avenue, NW, Suite 300 Washington, D.C. 20036 <i>For its project, The Pluribus Project.</i>	PC	\$0	\$25,000	\$0	\$25,000	\$0
New Venture Fund 1201 Connecticut Avenue, NW, Suite 300 Washington, D.C. 20036 <i>For its Moving Beyond Oil Fund project's Auto Manufacturers campaign.</i>	PC	\$0	\$100,000	\$0	\$100,000	\$0
New Venture Fund 1201 Connecticut Avenue, NW, Suite 300 Washington, D.C. 20036 <i>For its Redistricting Reform Project.</i>	PC	\$0	\$25,000	\$0	\$25,000	\$0
New Venture Fund 1201 Connecticut Avenue, NW, Suite 300 Washington, D.C. 20036 <i>For the work of the Presidents' Council of its U.S. Impact Investing Alliance.</i>	PC	\$0	\$250,000	\$0	\$39,000	\$211,000
New York Live Arts, Inc. 219 West 19th Street New York, NY 10011 <i>For its Live Feed residency program.</i>	PC	\$0	\$50,000	\$0	\$50,000	\$0
New York University 25 West Fourth Street New York, NY 10012 <i>For its Hemispheric Institute of Performance and Politics program, Hemispheric Access: Building Capacity for Born-Digital Art and Scholarship.</i>	PC	\$28,654	\$0	\$0	\$28,654	\$0
Nexus Carbon for Development Limited #02-02 Tanglin Industrial Centre Singapore, 247671 Singapore <i>For its Driving Investments toward Sustainable Production of and Affordable Access to Clean Energy Solutions in Cambodia and Myanmar project.</i>	PC	\$0	\$135,000	\$0	\$135,000	\$0
Nine Seven Two-Advancement of Citizen Journalism 40 Shderot Chen Street Tel Aviv, 64167 Israel <i>For general support.</i>	PC	\$60,000	\$0	\$0	\$60,000	\$0
Nonprofit Coordinating Committee of New York, Inc. 135 West 36th Street, 15th Floor New York, NY 10018 <i>For general support for 2016 and 2017.</i>	PC	\$1,500	\$0	\$0	\$1,500	\$0
Nonprofit Coordinating Committee of New York, Inc. 135 West 36th Street, 15th Floor New York, NY 10018 <i>For general support and membership for 2018 and 2019.</i>	PC	\$0	\$3,000	\$0	\$0	\$3,000

Nonprofit Coordinating Committee of New York, Inc. 135 West 36th Street, 15th Floor New York, NY 10018 <i>For efforts to support New York City nonprofits as they navigate the challenges posed by the current environment.</i>	PC	\$0	\$30,000	\$0	\$5,772	\$24,228
The Ocean Conservancy, Inc. 8th Floor Washington, D.C. 20036 <i>For its work on ocean acidification.</i>	PC	\$175,000	\$0	\$0	\$175,000	\$0
Oil Change International Suite 202 Washington, D.C. 20003 <i>For general support.</i>	PC	\$19,692	\$0	\$0	\$19,692	\$0
openDemocracy Limited 18 Ashwin Street London, E8 3DL United Kingdom <i>For its openDemocracy.net and North Africa, West Asia projects.</i>	PC	\$0	\$25,000	\$0	\$25,000	\$0
Optimist Association Marsala Tita bb Bosilegrad Serbia <i>For its project, Feel the Philanthropy.</i>	PC	\$30,000	\$0	\$0	\$30,000	\$0
Our Children's Trust P.O. Box 5181 Eugene, OR 97405 <i>For general support.</i>	PC	\$0	\$100,000	\$0	\$100,000	\$0
University of Oxford Oxford, OX2 6JF United Kingdom <i>For its support to the Forum on Health, Environment, and Development.</i>	PC	\$400,000	\$0	\$0	\$285,000	\$115,000
Pachamama Alliance Presidio Building #1009, P.O. Box 29191 San Francisco, CA 94129 <i>For its project, Global Alliance for the Rights of Nature.</i>	PC	\$0	\$86,000	\$0	\$86,000	\$0
Partners Albania for Change and Development Rruga Sulejman Delvina, N. 18, H. 8, Ap. 12 Tirana, 1001 Albania <i>For general support.</i>	PC	\$50,000	\$0	\$0	\$50,000	\$0
PEAK Grantmaking, Inc. Suite 440 Washington, D.C. 20006 <i>For general support for 2016 and 2017.</i>	PC	\$3,000	\$0	\$0	\$3,000	\$0
PEAK Grantmaking, Inc. Suite 440 Washington, D.C. 20006 <i>For a review of field practices on grantee diversity, equity, and inclusion data collection.</i>	PC	\$0	\$30,000	\$0	\$15,000	\$15,000
PEAK Grantmaking, Inc. Suite 440 Washington, D.C. 20006 <i>For general support and membership for 2018 and 2019.</i>	PC	\$0	\$6,000	\$0	\$0	\$6,000

Performance Space 122, Inc. 67 West Street, Unit 315 Brooklyn, NY 11222 <i>For the Artistic Growth Fund of its Give Performance Space campaign.</i>	PC	\$50,000	\$0	\$0	\$50,000	\$0
Performance Zone, Inc. 75 Maiden Lane, Suite 906 New York, NY 10038 <i>For its project, Pontus Lidberg Dance.</i>	PC	\$0	\$75,000	\$0	\$75,000	\$0
Stephen Petronio Dance Company, Inc. Suite 504 New York, NY 10003 <i>For its Petronio Residency Initiative.</i>	PC	\$0	\$50,000	\$0	\$50,000	\$0
Philanthropy for Active Civic Engagement Suite 420 Washington, D.C. 20005 <i>For 2016 and 2017 membership and general support.</i>	PC	\$36,450	\$0	\$0	\$36,450	\$0
Philanthropy New York, Inc. 1500 Broadway, 7th Floor New York, NY 10036 <i>For general support and membership for 2018 and 2019.</i>	PC	\$0	\$39,500	\$0	\$0	\$39,500
Pioneer Works Art Foundation 159 Pioneer Street Brooklyn, NY 11231 <i>For New York City's first virtual reality lab for artists.</i>	PC	\$170,000	\$0	\$0	\$170,000	\$0
Pitzer College 1050 North Mills Avenue Claremont, CA 91711 <i>For its Robert Redford Conservancy for Southern California Sustainability.</i>	PC	\$0	\$50,000	\$0	\$25,000	\$25,000
Placeful Company, Inc. 221 East 10th Street, #16 New York, NY 10003 <i>For arts community input and involvement in the pre-development of the La Marqueta site in East Harlem.</i>	PC	\$0	\$50,000	\$0	\$50,000	\$0
Ploughshares Fund 315 Bay Street, Suite 400 San Francisco, CA 94133 <i>For its Iran Campaign.</i>	PC	\$0	\$1,350,000	\$0	\$1,000,000	\$350,000
Ploughshares Fund 315 Bay Street, Suite 400 San Francisco, CA 94133 <i>For its Iran Campaign.</i>	PC	\$0	\$750,000	\$0	\$750,000	\$0
Polaris Institute USA 1901 Olympic Boulevard, Suite 200 Walnut Creek, CA 94596 <i>For its project, Latin America Investment Monitor.</i>	PC	\$0	\$25,000	\$0	\$25,000	\$0
Post-Conflict Research Center Pruscakova 21 Sarajevo, 71000 Bosnia and Herzegovina <i>For general support.</i>	PC	\$0	\$60,000	\$0	\$30,000	\$30,000
Power Shift Network 1875 Connecticut Avenue, NW, 10th Floor Washington, D.C. 20009 <i>For general support.</i>	PC	\$0	\$50,000	\$0	\$0	\$50,000

Pregones Touring Puerto Rican Theatre Collection, Inc. 571-575 Walton Avenue Bronx, NY 10451 <i>For the support of artists and theater in the Bronx.</i>	PC	\$0	\$25,000	\$0	\$25,000	\$0
Trustees of Princeton University 87 Prospect Avenue, P.O. Box 36 Princeton, NJ 08544 <i>For its Program on Science and Global Security at the Woodrow Wilson School of Public and International Affairs.</i>	PC	\$0	\$100,000	\$0	\$100,000	\$0
Prison Policy Initiative, Inc. 69 Garfield Avenue, 1st Floor Easthampton, MA 01027 <i>For general support.</i>	PC	\$50,000	\$0	\$0	\$50,000	\$0
The Project on Middle East Democracy, Inc. Suite 617 Washington, D.C. 20036 <i>For its Egypt-related activities.</i>	PC	\$0	\$150,000	\$0	\$150,000	\$0
Project South The Institution for the Elimination of Poverty & Genocide 9 Gammon Avenue Atlanta, GA 30315 <i>For the implementation and follow-up activities of its 2017 Southern Movement Assembly.</i>	PC	\$0	\$30,000	\$0	\$30,000	\$0
Protect Democracy Project Suite 163 Washington, D.C. 20006 <i>For general support.</i>	PC	\$0	\$75,000	\$0	\$75,000	\$0
Proteus Fund, Inc. 15 Research Drive, Suite B Amherst, MA 01002 <i>For its initiative, the Piper Fund.</i>	PC	\$0	\$275,000	\$0	\$150,000	\$125,000
Proteus Fund, Inc. 15 Research Drive, Suite B Amherst, MA 01002 <i>For its project, Solidaire.</i>	PC	\$0	\$25,000	\$0	\$25,000	\$0
Proteus Fund, Inc. 15 Research Drive, Suite B Amherst, MA 01002 <i>For its project, Third Wave Fund.</i>	PC	\$0	\$25,000	\$0	\$25,000	\$0
Public Citizen Foundation, Inc. 1600 20th Street, NW Washington, D.C. 20009 <i>For its work with the Corporate Reform Coalition.</i>	PC	\$50,000	\$0	\$0	\$50,000	\$0
Public Citizen Foundation, Inc. 1600 20th Street, NW Washington, D.C. 20009 <i>For its Global Trade Watch program.</i>	PC	\$0	\$200,000	\$0	\$100,000	\$100,000
Public Citizen Foundation, Inc. 1600 20th Street, NW Washington, D.C. 20009 <i>For the Trumped Up Trade Fund of its Global Trade Watch program.</i>	PC	\$0	\$100,000	\$0	\$100,000	\$0

Rainforest Action Network 425 Bush Street San Francisco, CA 94108 <i>For its Climate and Energy program.</i>	PC	\$75,000	\$0	\$0	\$75,000	\$0
Reclaimtheamericandream.org 2700 Calvert Street, NW, Apt. 116 Washington, D.C. 20008 <i>For its documentary project, Can the States Save Our Democracy?</i>	PC	\$0	\$100,000	\$0	\$100,000	\$0
Red Hot Organization, Inc. 79 Madison Avenue, Suite 705 New York, NY 10016 <i>For the production and premiere of an upcoming concert performance featuring local Latino artists.</i>	PC	\$0	\$85,000	\$0	\$85,000	\$0
The Refugee Center Online 1320 SE 122nd Ave Portland, OR 97233 <i>For general support.</i>	PC	\$0	\$60,000	\$0	\$30,000	\$30,000
Represent.Us Education Fund P.O. Box 60008 Florence, MA 01062 <i>For general support.</i>	PC	\$100,000	\$0	\$0	\$100,000	\$0
RES Foundation 3A Zmaja od Nocaja St. Belgrade, 11000 Serbia <i>For general support.</i>	PF	\$0	\$120,000	\$0	\$60,000	\$60,000
Residency Unlimited, Inc. 360 Court Street, Unit 4 Brooklyn, NY 11231 <i>For artist residencies and to support a part-time development director.</i>	PC	\$90,000	\$0	\$0	\$90,000	\$0
Resource Media A Nonprofit Corporation 600 Stewart Street, Suite 1201 Seattle, WA 98101 <i>For a West Coast convening.</i>	PC	\$0	\$50,000	\$0	\$50,000	\$0
Resource Media A Nonprofit Corporation 600 Stewart Street, Suite 1201 Seattle, WA 98101 <i>For its work with the International Alliance to Combat Ocean Acidification.</i>	PC	\$0	\$100,000	\$0	\$100,000	\$0
Restaurant Opportunities Centers United - ROC United, Inc. 275 Seventh Avenue, Suite 1703 New York, NY 10001 <i>For its project, We the People Campaign in Michigan.</i>	PC	\$0	\$100,000	\$0	\$100,000	\$0
Robin Hood Foundation 826 Broadway, 9th Floor New York, NY 10003 <i>For its project, the Billion Dollar Challenge.</i>	PC	\$75,000	\$0	\$0	\$50,000	\$25,000
Rockefeller Philanthropy Advisors 6 West 48th Street, 10th Floor New York, NY 10036 <i>For its project, the D5 Initiative.</i>	PC	\$39,441	\$0	\$0	\$39,441	\$0
Rockefeller Philanthropy Advisors 6 West 48th Street, 10th Floor New York, NY 10036 <i>For its Climate Nexus project.</i>	PC	\$0	\$400,000	\$0	\$204,841	\$195,159

Rocky Mountain Institute 1820 Folsom Street Boulder, CO 80302 <i>For its Global Coal Finance project.</i>	PC	\$0	\$50,000	\$0	\$50,000	\$0
Rocky Mountain Institute 1820 Folsom Street Boulder, CO 80302 <i>For its Demonstrating Near-Zero Carbon Zones to Support City Peaking in China project.</i>	PC	\$0	\$500,000	\$0	\$250,000	\$250,000
Rocky Mountain Institute 1820 Folsom Street Boulder, CO 80302 <i>For its City Playbook Project.</i>	PC	\$0	\$125,000	\$0	\$125,000	\$0
The Franklin and Eleanor Roosevelt Institute 570 Lexington Avenue, 5th Floor New York, NY 10022 <i>For general support.</i>	PC	\$0	\$100,000	\$0	\$100,000	\$0
Rutgers, The State University of New Jersey P.O. Box 231 New Brunswick, NJ 08903 <i>For its project, the New Jersey Climate Adaptation Alliance.</i>	PC	\$0	\$35,193	\$0	\$35,193	\$0
Safe Passage Project Corporation 185 West Broadway New York, NY 10013 <i>For general support.</i>	PC	\$0	\$75,000	\$0	\$75,000	\$0
San Diego - Jalalabad Sister Cities Foundation 14918 Rancho Nuevo Del Mar, CA 92014 <i>For its Afghanistan program.</i>	PC	\$0	\$40,000	\$0	\$20,000	\$20,000
San Francisco Chamber of Commerce Foundation 235 Montgomery Street, Suite 760 San Francisco, CA 94104 <i>For its Chambers for Innovation and Clean Energy project.</i>	PC	\$100,000	\$0	\$0	\$100,000	\$0
SASB Foundation 1045 Sansome Street, Suite 450 San Francisco, CA 94111 <i>For general support.</i>	PC	\$90,000	\$0	\$0	\$50,000	\$40,000
School of Public Life Foundation 101 Pasareti ut Budapest, 1026 Hungary <i>For general support.</i>	PF	\$0	\$30,000	\$0	\$30,000	\$0
Sierra Club Foundation 2101 Webster Street, Suite 1250 Oakland, CA 94612 <i>For its Building Support for Responsible Trade project.</i>	PC	\$100,000	\$0	\$0	\$100,000	\$0
Sierra Club Foundation 2101 Webster Street, Suite 1250 Oakland, CA 94612 <i>For its Building Support for Responsible Trade project's work on trade agreements and climate change.</i>	PC	\$0	\$54,000	\$0	\$54,000	\$0
Sierra Club Foundation 2101 Webster Street, Suite 1250 Oakland, CA 94612 <i>For its International Financial Institution Reform project.</i>	PC	\$0	\$125,000	\$0	\$125,000	\$0

Sierra Club Foundation 2101 Webster Street, Suite 1250 Oakland, CA 94612 <i>For its Campaign to Restore and Protect America's Borderlands.</i>	PC	\$0	\$50,000	\$0	\$50,000	\$0
Social and Environmental Entrepreneurs, Inc. 23532 Calabasas Road, Suite A Calabasas, CA 91302 <i>For building capacity for sustainable overseas Chinese investment and finance work.</i>	PC	\$0	\$30,000	\$0	\$30,000	\$0
Social Good Fund, Inc. 6641 Aqua Vista Court Richmond, CA 94805 <i>For its Net-Zero Energy Coalition project.</i>	PC	\$0	\$25,000	\$0	\$25,000	\$0
Soul Fire Farm Institute, Inc. 1972 NY HWY 2 Petersburg, NY 12138 <i>For its Food Sovereignty Programs.</i>	PC	\$0	\$15,000	\$0	\$15,000	\$0
South Centre P.O. Box 228 Geneva, 1211 Switzerland <i>For general support.</i>	PC	\$0	\$400,000	\$0	\$200,000	\$200,000
Southern Coalition for Social Justice 1415 West Highway 54, Suite 101 Durham, NC 27707 <i>For general support.</i>	PC	\$100,000	\$0	\$0	\$100,000	\$0
Spaceworks NYC, Inc. 540 President Street, Unit 2E Brooklyn, NY 11215 <i>For the development of long-term, affordable artist work spaces.</i>	PC	\$75,000	\$0	\$0	\$75,000	\$0
Spanish Theatre Repertory Company, Ltd. 138 East 27th Street New York, NY 10016 <i>For the staging and production of three plays written and directed by Latinos as part of its 50th anniversary season.</i>	PC	\$50,000	\$0	\$0	\$50,000	\$0
Stand 1 Haight Street San Francisco, CA 94102 <i>For general support.</i>	PC	\$50,000	\$0	\$0	\$50,000	\$0
Stand 1 Haight Street San Francisco, CA 94102 <i>For its Business Ethics Network project.</i>	PC	\$0	\$100,000	\$0	\$50,000	\$50,000
Stichting BankTrack Vismarkt 15 VJ Nijmegen, 6511 The Netherlands <i>For general support.</i>	PC	\$0	\$70,000	\$0	\$35,000	\$35,000
Stichting European Climate Foundation Riviermarkt 5 The Hague, 2513 AM The Netherlands <i>For the Pooled Fund for International Energy.</i>	PC	\$0	\$200,000	\$0	\$100,000	\$100,000
Stichting Transnational Institute De Witenstraat 25 Amsterdam, 1052 AK The Netherlands <i>For its work on trade and investment.</i>	PC	\$0	\$100,000	\$0	\$50,000	\$50,000

Studio Museum in Harlem, Inc. 144 West 125th Street New York, NY 10027 <i>For updating its strategic plan to prepare for its new building and for off-site programming during the construction phase.</i>	PC	\$0	\$200,000	\$0	\$100,000	\$100,000
The Synergos Institute, Inc. 3 East 54th Street, 14th Floor New York, NY 10022 <i>To advance philanthropic exchanges between China and the world.</i>	PC	\$21,000	\$0	\$0	\$21,000	\$0
The Tahrir Institute for Middle East Policy Suite 505 Washington, D.C. 20036 <i>For its Egypt work.</i>	PC	\$0	\$75,000	\$0	\$0	\$75,000
Take Back Our Republic, Inc. 246 E. Glenn Avenue Auburn, AL 36830 <i>For general support.</i>	PC	\$0	\$50,000	\$0	\$50,000	\$0
TakeAction Minnesota Education Fund 705 Raymond Avenue, Suite 100 Saint Paul, MN 55114 <i>For its organizational planning project.</i>	PC	\$0	\$50,000	\$0	\$50,000	\$0
Tapfound, Inc. 40 Worth Street, Suite 601 New York, NY 10013 <i>For capacity-building resources through pro bono service.</i>	PC	\$0	\$40,000	\$0	\$40,000	\$0
TechSoup Global 435 Brannan Street, Suite 100 San Francisco, CA 94107-1780 <i>For expanding its technology donation program to include sponsored projects.</i>	PC	\$0	\$20,000	\$0	\$20,000	\$0
Texas Property Assessed Clean Energy Authority 98 San Jacinto Boulevard, Suite 1900 Austin, TX 78701 <i>For general support.</i>	PC	\$50,000	\$0	\$0	\$50,000	\$0
Third World Network Berhad 131 Macalister Road Penang, 10400 Malaysia <i>For general support.</i>	PC	\$0	\$400,000	\$0	\$200,000	\$200,000
Third World Network-Africa P.O. Box 19452 Accra Ghana <i>For general support.</i>	PC	\$100,000	\$0	\$0	\$100,000	\$0
Tides Center P.O. Box 29907 San Francisco, CA 94129-0907 <i>For its Adalah Justice Project USA.</i>	PC	\$75,000	\$0	\$0	\$75,000	\$0
Tides Center P.O. Box 29907 San Francisco, CA 94129-0907 <i>For its Palestine Legal project.</i>	PC	\$0	\$100,000	\$0	\$50,000	\$50,000

Tides Foundation P.O. Box 29903 San Francisco, CA 94129-0903 <i>For its Black Futures Lab Education Fund's project, the Black Futures Lab.</i>	PC	\$0	\$125,000	\$0	\$125,000	\$0
Trag Foundation Djevdjelijska 19 Belgrade-Zvezdara, 106502 Serbia <i>For general support.</i>	PC	\$100,000	\$0	\$0	\$100,000	\$0
Trag Foundation Djevdjelijska 19 Belgrade-Zvezdara, 106502 Serbia <i>For its Civil Society House project in Serbia.</i>	PC	\$0	\$300,000	\$0	\$150,000	\$150,000
T'ruah 266 West 37th Street, Suite 803 New York, NY 10018 <i>For its project, the Center for Jewish Nonviolence.</i>	PC	\$0	\$10,000	\$0	\$10,000	\$0
Tsinghua University Institute of Energy, Environment, and Economy Beijing, 100084 China <i>For its Toward Building a Sustainable Carbon Emission Trading System in China and California project.</i>	PC	\$0	\$200,000	\$0	\$100,000	\$100,000
Trustees of Tufts College Medford, MA 02155 <i>For its Global Development and Environment Institute's work to assess and manage bioenergy carbon emissions.</i>	PC	\$0	\$50,500	\$0	\$50,500	\$0
Trustees of Tufts College Medford, MA 02155 <i>For its Global Development and Environment Institute to conduct further research on trade patterns and inequality.</i>	PC	\$0	\$45,000	\$0	\$45,000	\$0
Trustees of Tufts College Medford, MA 02155 <i>For its Chinese Overseas Energy Investments in the Mekong Delta and Southeast Asia project.</i>	PC	\$0	\$100,000	\$0	\$100,000	\$0
Trustees of Tufts College Medford, MA 02155 <i>For the conference, Reconstructing Government for the 99%, of its Global Development and Environment Institute.</i>	PC	\$0	\$41,000	\$0	\$41,000	\$0
Trustees of Tufts College Medford, MA 02155 <i>For a research project on corporate engagement on climate change and clean energy.</i>	PC	\$0	\$50,000	\$0	\$50,000	\$0
Two States One Homeland 10 Bloch Str. Tel Aviv-Yafo, 6416116 Israel <i>For general support.</i>	PC	\$0	\$30,000	\$0	\$15,000	\$15,000
United Nations Foundation 1800 Massachusetts Avenue, NW, Suite 400 Washington, D.C. 20036 <i>For its work with the Global Climate Action Summit.</i>	PC	\$0	\$125,000	\$0	\$62,500	\$62,500
Urban Justice Center 40 Rector Street, 9th Floor New York, NY 10006 <i>For its Asylum Seeker Advocacy project.</i>	PC	\$0	\$50,000	\$0	\$50,000	\$0

Urban Justice Center 40 Rector Street, 9th Floor New York, NY 10006 <i>For its International Refugee Assistance Project.</i>	PC	\$0	\$160,000	\$0	\$80,000	\$80,000
Vera Institute of Justice, Inc. 233 Broadway, 12th Floor New York, NY 10279 <i>For its SAFE Cities Network.</i>	PC	\$0	\$75,000	\$0	\$75,000	\$0
Virginia Organizing, Inc. 703 Concord Avenue Charlottesville, VA 22903 <i>For its project, the Health and Environmental Funders Network.</i>	PC	\$0	\$4,375	\$0	\$4,375	\$0
Visualizing Impact 226 Makdissi Street, Hamra Beirut, 2034 3511 Lebanon <i>For general support.</i>	PC	\$15,000	\$0	\$0	\$15,000	\$0
The Voices Project Unit 28 40 Bowling Green Lane London, EC1R 0NE United Kingdom <i>For general support.</i>	PF	\$0	\$150,000	\$0	\$150,000	\$0
VoteRunLead 1103 Missouri Avenue Duluth, MN 55811 <i>For general support.</i>	PC	\$0	\$75,000	\$0	\$75,000	\$0
Waterwell Productions, Inc. 145 West 45th Street, 7th Floor New York, NY 10036 <i>For its English/Farsi adaptation of Hamlet.</i>	PC	\$0	\$25,000	\$0	\$25,000	\$0
Who Profits Research Center 16 Ben Avigdor Street Tel Aviv, 6101001 Israel <i>For general support.</i>	PC	\$0	\$25,000	\$0	\$25,000	\$0
Who Profits Research Center 16 Ben Avigdor Street Tel Aviv, 6101001 Israel <i>For general support.</i>	PC	\$0	\$175,000	\$0	\$50,000	\$125,000
WNET 825 Eighth Avenue New York, NY 10019 <i>For its film, Birth of a Movement.</i>	PC	\$0	\$35,000	\$0	\$35,000	\$0
Works and Process, Inc. Suite 1005 New York, NY 10017 <i>For its new Rotunda Projects series.</i>	PC	\$0	\$100,000	\$0	\$50,000	\$50,000
World Resources Institute 10 G Street, NE Washington, D.C. 20002 <i>For its Track II Dialogue.</i>	PC	\$0	\$200,000	\$0	\$200,000	\$0
Yesh Din Association P.O. Box 50304 Tel Aviv-Jaffa, 6150201 Israel <i>For general support.</i>	PC	\$0	\$30,000	\$0	\$30,000	\$0

Youth America Grand Prix, Inc. PC \$0 \$100,000 \$0 \$50,000 \$50,000
 417 Fifth Avenue, 5th Floor
 New York, NY 10016
For the implementation of strategic plan objectives.

Zochrot PC \$0 \$20,000 \$0 \$20,000 \$0
 34 Itzhak Sade Street
 Tel Aviv, 97212 Israel
For general support.

Grand Total	\$6,809,364	\$34,412,424	\$0	\$30,678,159	\$10,543,629
Rounding Difference	(2)				(2)
Per G/L @ 12/31/17	\$ 6,809,362	\$ 34,412,424	\$ -	\$ 30,678,159	\$ 10,543,627

2017 Employee Matching Gifts (see attached following detail) \$ 28,667

Total Part XV, line 3(a)	\$ 30,706,826
Total Part XV, line 3(b)	\$ 10,543,627

Key to Tax Status

- PF Private non-operating foundation, U.S.-based or foreign equivalent
- POF Private operating foundation, U.S.-based or foreign equivalent
- EOF Exempt operating foundation (section 4940(d))
- PC Public charity as described in section 509(a)(1) or (2), U.S.-based or foreign equivalent
- GOV Domestic or foreign government
- SO-DP Type I,II, or II functionally integrated supporting organization if a disqualified person of the private foundation controls the supporting organization or a supported organization (sections 509(a)(3) and 4942(g)(4))
- SO I Type I supporting organization (sections 509(a)(3) and sections 509(a)(3)(B)(i) other than an SO-DP
- SO II Type II supporting organization (sections 509(a)(3) and sections 509(a)(3)(B)(ii) other than an SO-DP
- SO III Functionally integrated Type III supporting organization (sections 509(a)(3), sections 509(a)(3)(B)(iii), and 4943(f)(5)(B) other than an SO-DP
- SO III Non-functionally integrated Type III supporting organization (sections 509(a)(3), sections 509(a)(3)(B)(iii), and 4943(f)(5)(B) other than an SO-DP
- TPS Testing for public safety organization (section 509(a)(4))
- NC Organization not otherwise classified
- I Individual person

DETAIL OF EMPLOYEE MATCHING GIFTS

The Rockefeller Brothers Fund makes matching grants only to U.S. publicly supported organizations.

Date of Gift	Organization	Matching Gift Amount
12/31/2017	A Place for Kids	200.00
3/29/2017	Alzheimer's Association	100.00
10/18/2017	American Foundation for Suicide Prevention	100.00
12/13/2017	American National Red Cross	100.00
2/15/2017	Asian Americans Advancing Justice-Asian Law Caucus	150.00
6/20/2017	Bideawee	200.00
12/13/2017	Broadway Cares/Equity Fights AIDS, Inc.	200.00
4/26/2017	Brown University	400.00
6/20/2017	Byrd Hoffman Water Mill Foundation	750.00
12/31/2017	CAAAV Organizing Asian Communities	100.00
12/31/2017	Camp Sloane YMCA	30.00
4/12/2017	Caribbean Cultural Center African Diaspora Institute	1,250.00
3/15/2017	Casita Maria, Inc.	1,250.00
7/19/2017	Casita Maria, Inc.	500.00
12/13/2017	Casita Maria, Inc.	1,500.00
6/20/2017	chashama	1,000.00
3/15/2017	Columbia University	200.00
12/13/2017	Doctors Without Borders	100.00
3/8/2017	Earthjustice	100.00
10/18/2017	Earthjustice	50.00
7/19/2017	Economic Stimulus Projects for Work and Action	100.00
9/27/2017	Emma's Torch	200.00
5/24/2017	Fairfield University	200.00
11/15/2017	Friends of Caritas Cubana	250.00
12/18/2017	Friends of Caritas Cubana	250.00
7/19/2017	Girl Scouts Heart of The Hudson	35.00
10/4/2017	Hispanic Federation	100.00
12/31/2017	Hispanics in Philanthropy	460.00
12/13/2017	Hudson River Museum	250.00
3/15/2017	International Rescue Committee, Inc.	100.00
9/27/2017	International Women's Health Coalition	5,000.00
12/13/2017	Iyengar Yoga Association Of Greater New York	100.00
12/31/2017	Iyengar Yoga Association Of Greater New York	100.00
12/31/2017	Lacordaire Academy	200.00
9/27/2017	Linnaean Society of New York	45.00
11/15/2017	Lost Light Projects, Inc.	1,500.00
12/31/2017	Lyndhurst Foundation, Inc.	800.00
12/13/2017	Macalester College	200.00
12/31/2017	March of Dimes	52.00
2/15/2017	Mercy First	335.00
12/31/2017	Montclair Community Pre-K	100.00
6/15/2017	Ms Foundation For Woman	325.00
6/15/2017	Ms Foundation For Woman	100.00
3/8/2017	Museum of Modern Art	600.00
5/24/2017	National Audubon Society, Inc.	350.00
6/20/2017	National LGBTQ Task Force	50.00
10/18/2017	New York Public Radio	100.00
5/24/2017	North Shore Land Alliance	500.00
12/31/2017	Ossining Children's Center	150.00
3/29/2017	Ossining Food Pantry	150.00
12/31/2017	Ossining Food Pantry	150.00
6/20/2017	Paralyzed Veterans of America	200.00
12/13/2017	PEAK Grantmaking	100.00
5/24/2017	Performance Space 122, Inc.	1,000.00
11/15/2017	Planned Parenthood	50.00

DETAIL OF EMPLOYEE MATCHING GIFTS

The Rockefeller Brothers Fund makes matching grants only to U.S. publicly supported organizations.

Date of Gift	Organization	Matching Gift Amount
12/13/2017	PS 75 Parent Teachers Association, Ltd.	1,000.00
2/22/2017	Rainforest Action Network	1,250.00
11/16/2017	Rainforest Action Network	500.00
12/13/2017	Rising Tide Capital	1,500.00
12/31/2017	St. Jude Children's Research Hospital	145.00
4/26/2017	St. Mary of the Assumption High School	200.00
12/13/2017	The Asia Foundation	500.00
10/18/2017	The Baruch College Fund	240.00
4/3/2017	The Linnaean Society Of New York	50.00
12/31/2017	The Salvation Army	50.00
12/13/2017	The Sato Project	200.00
12/31/2017	The Sato Project	200.00
3/1/2017	Urban Justice Center	75.00
11/15/2017	US Fund for UNICEF	100.00
5/24/2017	Westchester County Historical Society	50.00
6/15/2017	Westchester County Historical Society	125.00
Total Employee Matching Gifts		28,667.00